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INCORPORATING ENL~~Y~~ IN ENVIRONMENTAL  
ASSESSMENT OF A BIOPHARMACEUTICAL PROCESS

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Thesis submitted in fulfilment of the requirements  
for the award of the degree of  
Master of Engineering (Chemical)

Faculty of Chemical Engineering and Natural Resources  
UNIVERSITI MALAYSIA PAHANG

DECEMBER 2015

## TABLE OF CONTENTS

	<b>Page</b>
SUPERVISOR'S DECLARATION	ii
STUDENT'S DECLARATION	iii
ACKNOWLEDGEMENT	v
ABSTRACT	vi
ABSTRAK	vii
TABLE OF CONTENTS	viii
LIST OF TABLES	x
LIST OF FIGURES	xi
LIST OF SYMBOLS	xiii
LIST OF ABBREVIATIONS	xv

### CHAPTER 1 INTRODUCTION

1.1 Motivation	1
1.2 Objective	3
1.3 Scopes	3
1.4 Thesis overview	5

### CHAPTER 2 LITERATURE REVIEW

2.1 Environmental assessment methodology	6
2.2 Waste Reduction (WAR) Algorithm	8
2.2.1 Introduction	8
2.2.2 Impact categories	9
2.2.3 PEI indexes	12
2.2.4 Calculation of PEI indexes of a chemical component	13
2.2.5 PEI of energy consumption	15

### CHAPTER 3 POTENTIAL ENVIRONMENTAL IMPACT OF PHARMACEUTICAL WATER AND STEAM

3.1	Introduction	19
3.1.1	Calculation of PEI from WFI and pure steam generation	21
3.2	Utility steam generation System	23
3.3	Pure steam generation system	28
3.4	Water for Injection Generation System and its energy requirement	33
3.4.1	Multiple Effect Distillation (MED) System	35
3.4.2	Vapour Compression Distillation (VCD)	39
3.4.3	Comparison of PEI for 1 kg of WFI in VCD vs MED	44

## CHAPTER 4 CASE STUDY: MONOCLONAL ANTIBODY PROCESS

4.1	Introduction	48
4.2	MAb process: Processing steps	49
4.3	MAb Process: Material and Energy demand	52
4.4	MAb process: PEI of manufacturing material	56
4.5	PEI of energy consumption	61
4.5.1	PEI of electricity	61
4.5.2	PEI of pure steam	62
4.5.3	PEI for WFI (MED)	63
4.5.4	PEI for WFI (VCD)	65
4.6	Summary of PEI evaluation	66
4.6.1	Summary of PEI evaluation (1st case: MED)	66
4.6.2	Summary of PEI evaluation (2nd case: VCD)	69
4.7	Discussion	72

## CHAPTER 5 CONCLUSION AND RECOMMENDATION

5.1	Conclusion	78
5.2	Recommendation for future works	79

REFERENCES	80
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## **APPENDICES**

A	List of publications	85
B	Simulation data: Monoclonal Antibody Process	87
C	Simulation data: WFI Distillation System	114
D	Simulation data: Pure steam system	117

## LIST OF TABLES

Table No.	Title	Page
2.1	Emission factor for fossil-fuel based power plant	17
3.1	USP requirements for PW and WFI (US Pharmacopoeia Convention, 2012)	20
3.2	Emission factor for natural gas combustion	22
3.3	Impact factors of pollutants	22
3.4	Input and output stream results for PSG	32
3.5	Utility consumption for PSG	32
3.6	Energy consumption to produce 1 kg of pure steam	32
3.7	Input and output streams results for MED	39
3.8	Utility consumption for MED	39
3.9	Input and output streams results for VCD	43
3.10	Utility consumption for VCD	44
3.11	Summary of energy consumption of MED and VCD per 1 kg WFI	44
4.1	Mass balance of MAb production	53
4.2	Power and utilities demand per kg of MAb	54
4.3	Impact factors of chemical in the case study	58

## LIST OF FIGURES

<b>Figure No.</b>	<b>Title</b>	<b>Page</b>
2.1	Incorporation of energy into the impact calculation	16
3.1	Utility steam system	24
3.2	Calculation of boiler to produce utility steam	26
3.3	PEI for 1 kg utility steam	27
3.4	Simplified diagram of pure steam generator (PSG)	29
3.5	PSG model in SuperPro Designer®	30
3.6	Design parameters for PSG (1)	30
3.7	Design parameters for PSG (2)	31
3.8	PEI for 1 kg pure steam	33
3.9	Simplified process flow diagram of a MED system	36
3.10	Multiple Effect Distillation model in SuperPro Designer®	37
3.11	Design parameters MED (1)	38
3.12	Design parameters MED (2)	38
3.13	VCD system to produce WFI	40
3.14	Simulation diagram of VCD in SuperPro Designer®	41
3.15	Design parameters VCD (1)	42
3.16	Design parameters VCD (2)	42
3.17	Design parameters VCD (3)	43
3.19	Proportion of PEI contribution (MED)	45
3.20	Proportion of PEI contribution (VCD)	45
3.18	PEI for 1 kg WFI (VCD vs MED)	47
4.1	Process flow diagram of the case study (MAb production)	50
4.2	Percentage analysis of WFI demand/ kg MAb (excluding cleaning)	55
4.3	Percentage analysis of electricity demand/ kg MAb	56
4.4	PEI of manufacturing material/kg MAb	61
4.5	Summary of PEI for electricity demand (PEI/kg MAb)	62
4.6	Summary of PEI for pure steam (PEI/ kg MAb)	63
4.7	Summary of PEI for WFI (CIP) - MED (PEI/kg MAb)	64
4.8	Summary of PEI for WFI (Process) – MED (PEI/ kg MAb)	64

4.9	Summary of PEI for WFI (CIP) – VCD (PEI/ kg MAb)	65
4.10	Summary of PEI for WFI (Process) – VCD (PEI/ kg MAb)	66
4.11	Summary of PEI values (PEI/ kg MAb) (1st case: MED)	67
4.12	Energy demand (MJ/ kg MAb) (1st case: MED)	68
4.13	Comparison of PEI values (energy) (1st case: MED)	69
4.14	Contribution of PEI (%) (1st case: MED)	69
4.15	Summary of PEI values (PEI/ kg MAb) (2nd case: VCD)	70
4.16	Energy demand (MJ/ kg MAb) (2nd case: VCD)	71
4.17	Comparison of PEI values (energy) (2nd case: VCD)	71
4.18	Contribution of PEI (%) (2nd case: VCD)	72
4.19	Comparison of energy demand in MED and VCD to produce 1 kg of WFI	73
4.20	Comparison of PEI (MED)	74
4.21	Comparison of PEI (VCD)	75
4.22	Total PEI comparison (PEI/ kg MAb)	76

## LIST OF SYMBOLS

$\langle (Score) \rangle$	average impact factor
$(Score)$	impact factor
$F$	mass of fuel
$H$	mass flowrate of utility steam in stream $h$
$Stream-h$	streams containing utility steam
$Streams\text{-}gas$	streams containing gas
$Streams\text{-}solid$	streams containing solid
$EF$	emission factor
$I$	rate of PEI
$M$	ratio of mass of pollution to mass of product
$i$	normalized specific PEI
$x$	mass fraction of a component
$y$	input stream for fuel
$(ep)$	energy process
$mp$	unit mass of product
$t$	unit of time
$s$	normalized specific PEI
$c$	impact category
$E$	energy
$EF$	emission factor for gas pollutants $g$
$F$	mass of fuel

$g$	gas pollutant
$h$	stream of utility steam
$j$	stream
$k$	chemical component
$m$	energy stream
$M_j$	output stream flow rate
$in$	input
$out$	output
$gen$	generated
$WFI$	Water for Injection
$p$	product
$\sum_p M_p$	mass flow rate of product produced
$\alpha$	relative weighting factor
$\delta_{gas}$	total energy in the streams containing gas
$\delta_{solid}$	total energy in the streams containing solid

## **LIST OF ABBREVIATIONS**

ACGIH	American Conference of Industrial Hygienists
AP	Acidification Potential
ATP	Aquatic Toxicity Potential
ATPE	Aqueous two-phase extraction
CFC-11	Trichlorofluoromethane
CFU	colony forming unit
CIP	Cleaning in Place
CO	Carbon oxides
CO <sub>2</sub>	Carbon dioxide
EDTA	Ethylenediaminetetraacetic acid
EP	European Pharmacopoeia
EPA	United States Environmental Protection Agency
GMP	Good Manufacturing Practices
GWP	Global Warming Potential
HIC	Hydrophobic Interaction Chromatography
HTPE	Human Toxicity Potential by either Inhalation or Dermal Exposure
HTPI	Human Toxicity Potential by Ingestion
IEX	Ion Exchange Chromatography
JP	Japanese Pharmacopoeia
KCl	Potassium chloride
KH <sub>2</sub> PO <sub>4</sub>	Potassium dihydrogen phosphate
LC <sub>50</sub>	Lethal concentration of a chemical which causes death in 50% of test specimen

LCA	Life Cycle Assessment
LD <sub>50</sub>	Lethal dose on rats by oral ingestion that causes 50% death of the rats
MAb	Monoclonal antibody
MED	Multiple Effect Distillation
Na <sub>2</sub> HPO <sub>4</sub>	Disodium hydrogen phosphate
NaH <sub>2</sub> PO <sub>4</sub>	Sodium dihydrogen phosphate
NIOSH	National Institute for Occupational Safety and Health
NO <sub>2</sub>	Nitrogen dioxide
NOx	Nitrogen oxides
ODP	Ozone Depletion Potential
ODS	Ozone depleting substance
OH	hydroxyl radical
OSHA	Occupational Safety and Health Administration
PCOP	Photochemical Oxidation Potential
PMI	Process Mass Intensity
PEI	Potential Environmental Impact
ppb	parts per billion
PS	Pure steam
PSG	Pure steam generator
PW	Purified Water
RO	Reverse Osmosis
SIP	Sterilization in Place
SO <sub>2</sub>	Sulphur dioxides
SOx	Sulphur oxides
sPEI	Specific Potential Environmental Impact

TLV	Threshold limit values
TOC	Total Organic Carbon
TPP	Terrestrial Toxicity Potential
TWA	Time-weighted averages
USP	United States Pharmacopoeia
USP-NF	United States Pharmacopoeia – National Formulary
VCD	Vapour Compression Distillation
WAR	Waste Reduction
WFI	Water for Injection

## ABSTRACT

Biopharmaceutical industries consistently applied Water for Injection (WFI) as a solvent during their production stage. Generally, water is considered as non-hazardous material, but in the pharmaceutical industries the involved treatments to produce WFI typically consumes a large amount of energy. This energy usually comes from the use of utility steam as well as electricity to heat the water as part of the purification process. Consequently, generation of utility steam and electricity needed to produce WFI releases gas pollutants and directly affecting the environment. However, such potential environmental impact (PEI), which is associated to the demand of WFI in a biopharmaceutical process, is typically not included in the environmental assessment of the process as water is considered benign. Therefore, this work aims to estimate the PEI value from WFI and pure steam generation using a simple algorithm which is modified from Waste Reduction (WAR) Algorithm. The PEI is estimated based on the gas pollutants emitted from the energy generation process, which is in this case, the electricity and utility steam. In order to determine the energy needed in WFI and pure steam generation, their generation system was modelled and simulated in SuperPro Designer®. WFI is typically produced in Multiple Effect Distillation (MED) system or Vapour Compression Distillation (VCD) system and meanwhile pure steam is produced in pure steam generator (PSG). A hypothetical large-scale of monoclonal antibody (MAb) production is used as a case study to demonstrate the environmental impact assessment using WAR Algorithm inclusive of PEI from WFI and pure steam demand during manufacturing process. From the case study, it can be concluded that the WFI generation, regardless of using MED or VCD, occupied the largest percentage of energy consumption. The PEI shows a major contribution to the total PEI value, particularly in global warming potential. The hotspot based on the highest WFI consumption is Protein A chromatography. This equipment is used in the downstream processing step to purify the target product. As biopharmaceutical process needs a large amount of WFI in the manufacturing process, therefore it is important to include PEI from WFI as part of the environmental assessment. This result is essentially useful as a tool for decision-making in order to create a more sustainable process.

## **ABSTRAK**

Industri biofarmaceutikal sentiasa memerlukan air untuk suntikan (WFI) dalam pemprosesan mereka untuk digunakan sebagai medium pelarut. Secara umumnya air adalah bahan yang tidak berbahaya, tetapi proses penulenan yang digunakan di dalam industri farmaceutikal untuk menghasilkan WFI kebiasaannya menggunakan sejumlah besar tenaga. Sumber tenaga ini adalah elektrik dan wap air panas yang digunakan untuk memanaskan air sebagai sebahagian proses penulenan air. Akan tetapi, proses penghasilan tenaga ini membebaskan bahan tercemar dan boleh menjelaskan alam sekitar. Walaubagaimanapun, potensi pencemaran alam sekitar (PEI) ini yang boleh dikaitkan dengan permintaan jumlah WFI biasanya tidak dimasukkan ke dalam keseluruhan penilaian alam sekitar sesebuah proses biofarmaceutikal disebabkan anggapan bahawa air adalah satu bahan semulajadi dan tidak memberi kesan kepada alam sekitar. Oleh itu, tesis ini bertujuan untuk menganggarkan nilai PEI dari penghasilan WFI dan wap air tulen menggunakan algoritma mudah yang telah diubahsuai dari Algoritma Pengurangan Bahan Buangan (WAR Algoritma). PEI ini dianggarkan berdasarkan bahan pencemaran yang dibebaskan semasa proses penjanaan tenaga, di mana dalam kes ini ialah elektrik dan wap air panas. Sebuah model sistem penjanaan WFI dan wap air tulen tersebut telah dibangunkan menggunakan SuperPro Designer® dan simulasi proses penghasilan utiliti tersebut telah dijalankan untuk menentukan jumlah tenaga yang diperlukan. WFI biasanya dihasilkan menggunakan sistem Penyulingan dari Kesan Berbilang (MED) atau sistem Penyulingan dengan Wap Mampatan (VCD) dan wap air tulen pula dihasilkan dalam penjana wap air tulen (PSG). Sebuah proses monoclonal antibody (MAb) yang berskala besar telah digunakan sebagai kajian kes untuk menunjukkan cara menggabungkan PEI dari WFI dan wap air tulen tersebut ke dalam keseluruhan penilaian alam sekitar. Dari kajian kes, boleh dilihat bahawa WFI menyumbang kepada peratusan terbesar dari jumlah keseluruhan penggunaan tenaga. PEI dari WFI juga mempengaruhi jumlah keseluruhan PEI, terutamanya dalam potensi pemanasan global. Protein A kromatografi telah dikenalpasti sebagai titik panas di mana jumlah WFI yang diperlukan untuk proses ini adalah yang terbanyak berbanding unit pemprosesan yang lain. Alat ini digunakan semasa proses penulenan produk untuk mengasingkan bahan-bahan yang tidak diperlukan dari produk sasaran. Ini menunjukkan bahawa adalah penting untuk mempertimbangkan PEI dari WFI dalam penilaian alam sekitar sesebuah proses biofarmaceutikal kerana lazimnya proses ini memerlukan jumlah WFI yang besar. Kesimpulan dari tesis ini dapat membantu membuat keputusan bagi memilih proses yang lebih mapan.

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