Effect of Halogen Substitution on the Absorption and Emission Profile of Organometallic Perovskites

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> Abstract. Comparative study on the optical properties of methylamide lead mono- and hybrid-halide perovskite samples, from the perspective of its crystal structure, which were synthesized in ambient condition (temperature ~26.6 °C, humidity ~65%), a step toward industrial commercialization, were carried out. In this study, in-depth information on both crystal structure and optical properties of the methylamide lead halide perovskite was the main focus, including study of relationship between these two factors via real world experimentation. Increment in lattice stain can be observed when the bromide or chloride ions were substituted, resulting in higher lattice strain in hybrid-halide samples. The lattice strain provides kinetic energy to the electrons, facilitating the promotion of exciton which increased the number of photon emitted during recombination. However, increasing lattice strain results in the widening of the energy gap of the samples by shifting the energy level to a higher or lower level. The results provide some insight on the relationship between crystal structure and optical properties of perovskite which paces a route for future cross-factor experimentation under uncontrolled ambient environment, in an effort to unravel more underlying working mechanism of perovskite solar cells.

1 Introduction

In response to Paris Agreement, more than 120 countries had put in effort to counteract climate changes. One of their efforts is by implementing conversion of traditional industries to green industries, which result in advancement of photovoltaic industries all around the globe [1]. To ensure the further development of photovoltaic (PV) industry, improvement of PV efficiencies as well as performance and reduction in product's cost are both important. Perovskite solar cells (PSCs) were first introduced in 2009, with its efficiency leapfrogged from $\sim 3.8\%$ [2] to $\sim 21\%$ [3] in 2016, had attracted attention from the research community. Its capability of optimal operation even in low light intensity and solution-processability, which offer low cost fabrication, make PSCs a suitable candidate to replace non-economical silicon solar cells (SSCs) that monopolized nowadays photovoltaic industry. In addition, both nano-scale thickness and absence of liquid electrolyte opened up huge gateway for the application of PSCs technology, such as building integrated photovoltaic system (BIPV) or

flexible photovoltaic device. Aside from pros, the biggest con of PSCs will be its stability. Efforts had been taken to improve the stability of PSCs, including introducing a polymeric framework to the perovskite crystal structure to prolong the decomposition of the perovskite film but results in poor fill factor (<40%) and large hysteresis ($\sim50\%$) [4]. A study done by Fakharuddin et. al. had reported that not only the perovskite film itself but the morphology, porosity and chemical stability of the electron transport layer play a vital role as well in determining the stability of PSCs where the application of TiO2 nanorod instead of conventional TiO2 layer had demonstrated higher PSCs durability [5]. Though glance on some of the factors affecting the stability had been obtained, ways of improving the stability without affecting the PSCs performance had not yet been identified. Fabrication cost of PSCs, been the main factor determining PSCs marketability, had been revised to reduce the overall cost of PSCs manufacturing by remodeling the fabrication method, from one-step deposition method to two-step deposition method, as well as from solution deposition to evaporation techniques [6-9]. Studies on the underlying working mechanism of PSCs had also been carried out as an effort to understand the physics of PSCs and to gain new insight for further PSCs device improvement. Stranks et. al. had reported that the incorporation of chloride atoms into the MAPbI3 structure will helps to improve the diffusion length of electrons and reduces the recombination rate with no focus on the optoelectronic properties [10]. The effect of non-stoichiometric precursors on MAPbI3 PSCs had also been investigated, showing an improvement on the photovoltaic performance [11] as well as the fill factor [12] in step with the increasing PbI2 amount. Instead of real-life experiment, several studies are done using computer simulation, mostly using Density Functional Theory (DFT), MD simulation, Group theory and GW approximation, to unravel the underlying working mechanism and physics of the ABX3 perovskite material [13-16].

Computation simulation was able to estimate and explain the physics and working mechanism observed from PSCs devices under specific set of condition, which might be totally different in real-life ever-changing environmental condition. On the other hand, the stability of perovskite film cannot be studied using computer simulation method, indicating the importance of real-time experimentation in further perovskite investigation. With both real-time experimentations and computational simulations done by previous researchers, it is undeniable that adequate knowledge about perovskite had been revealed despite from some yet unraveled secret hidden within the physics of perovskite. Almost all the previous studies are carried out in line with 3 main directions, which are (i) improving perovskite stability, (ii) improving efficiency and performance of PSCs, and (iii) studying the physics of perovskite. Seldom a cross direction study is been carried out before, which might offer some recommendation on ways for PSCs improvement. One-directional study does provide some explanation on PSCs performance but seldom provide in-depth information regarding the physics of PSCs and the overall relationship between the crystal structure of perovskite and its optoelectronic properties. Therefore, a cross-directional study is crucial for PSCs to breakthrough from it efficiency bottleneck.

Herein, we studied the optical properties of perovskite from the perspective of crystal structure when the halide of MAPbX3 (X = I, Br, or Cl) is substituted. A total of 9 samples are studied, including 5 mono-halide MAPbI3 samples with different molar ratio and 4 hybrid-halide MAPbI3-xYx samples (where Y = Br or Cl; X = 1 or 2). One-step solution-decomposition technique is used to prepare all 9 samples under ambient environment (temperature ~26.6 °C, humidity ~65%), which is one of the crucial factor in future low cost industrial commercialization. It is well known that the optoelectronic properties of perovskite originated from its non-stoichiometry crystal structure. Our main focus is to study the effect of halide substitution on perovskite crystal structure and its effect on perovskite optical properties from the crystal structure point of view. The crystal structure of the perovskite samples were studied using X-ray Diffraction (XRD) and its optical properties were obtained

using UV-Vis-NIR Absorption Spectroscopy as well as Photoluminescence Spectroscopy. We reported a detailed relationship between crystal structure and optical properties of perovskite samples when the halide, X of MAPbX3 is substituted.

2 Experimental Section

2.1 Perovskite Preparation

One-step solution deposition technique was adopted in this study to synthesis organometallic halide perovskite. All the chemicals used in this study were obtained from Aldrich-sigma and used without any further purification. Methylamine (CH₃NH₃, 33 wt% in absolute ethanol) was mixed with hydroiodic acid (HI, 57 wt% in H₂O) at 0 °C by stirring for 2 hours, followed by evaporation using rotatory evaporator at 70 °C for 1 hour. The precipitate was then cleaned using diethyl ether for three times until a white color precipitate was obtained followed by drying in oven at 70 °C overnight. The methylamide iodide, MAI powder synthesized was used for the following sample preparation without any further purification. Lead (II) iodide (PbI₂, 99%) powder and the prepared methylamide iodide powder were mixed, 40 wt% in dimethylformamide, DMF solution (EMSURE[®]) in the ratio of 1:3; 1:2; 1:1; 1:2; and 1:3. The resultant solutions were stirred at 70 °C overnight. Hybrid-halide perovskite samples were prepared by mixing the above prepared MAI powder with lead (II) bromide (PbBr₂, 99%) powder or lead (II) chloride (PbCl₂, 99%) powder, 40 wt% in DMF solution, followed by stirring at 70 °C for overnight. Ratio of (MAI:PbI2:PbX2) used in hybrid-halide perovskite preparation were (1:0.33:0.67) and (1:0.67:0.33). For perovskite film preparation, titanium dioxide, TiO₂ paste (Solaronix) was dissolved in ethanol with a weight ratio of 1 wt% of TiO₂ paste in 6 wt% of ethanol. The TiO_2 electron transport layer was then deposited on glass slide by spin-coating at 1000 rpm for 20 seconds. The substrates were heated at 500 °C, ~1 hour for complete drying. Once the substrate cooled down, perovskite solution was deposited via spin-coating at 1000 rpm for 15 seconds, followed by substrate heating at 70 °C for 1 hour. A dark colored perovskite film was obtained for all the sample.

2.2 Sample Characterizations

Absorption profile of the samples were recorded using UV-Vis-NIR absorption spectroscopy, UV-2600 of Shimadzu with integrated sphere 2600 plus. Single scan mode was applied, with medium scanning speed, scanning from 900 nm to 400 nm, peak's threshold value set to be 0.001 at points 4 and slit width of 5 nm. The light source changed wavelength at 323 nm whereas the detector change wavelength at 830 nm. The emission profiles of all the samples were recorded using photoluminescence spectroscopy, Edinburgh Instruments NIR 300/2. Source light path used is Xe900 with wavelength 300 nm whereas the emission detector light path used was Visible 500 nm with iris set at 95, scanning wavelength ranged from 620 nm to 900 nm with excitation wavelength set at 600 nm, bandwidth of 20 nm, and 0.5 s dwell time. To study the crystal structure of the samples, X-ray diffraction Bruker, D8 Advance model was employed. The XRD spectra were taken within the range of 5 to 60° 20 at room temperature using CuK α radiation. Tube voltage was adjusted to be 40 kV, tube current of 40 mA, step-scan mode with a step size of 0.02° 20 and counting step of 1-3s per step.

3 RESULTS AND DISCUSSION

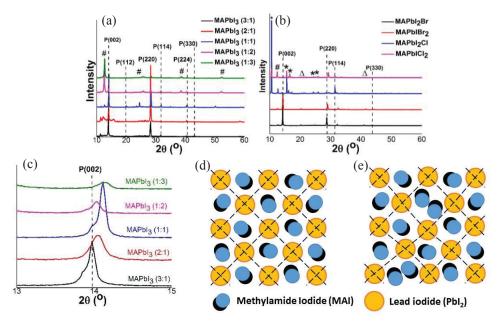


Figure 1: XRD spectra for mono-halide sample (a) and hybrid-halide samples (b), indicating peaks for perovskite (P), PbI₂ (#), PbBr₂ (Δ), and PbCl₂ (*). Graph (c) highlighted the left-skewed behavior of the perovskite (002) crystal plane when the MAI:PbI₂ ratio was altered. (d) and (e) illustrates the lattice strain experienced by the crystal structure when amount of MAI molecules increases.

Briefly, fig 1(a) and 1(b) show the XRD spectra of all the samples. All 9 samples show tetragonal crystal structure with lattice parameter a = b = ~8.9 Å, c = ~12.6 Å for MAPbI₃ as well as MAPbI_{3-x}Cl_x samples, and a = b = ~8.7 Å, c = ~12.4 Å for MAPbI_{3-x}Br_x samples respectively. Regardless to mono-halide or hybrid-halide perovskite samples, all the samples shown high peak intensity at ~14°, indicating plane (002) as the main crystal plane in all the perovskite samples, excluding mono-halide sample with ratio (2:1) where the main crystal plane was plane (220). By studying the position of the (002) crystal plane of mono-halide perovskite samples, the peak of the (002) planes were clearly left-skewed when the amount of MAI added was increased, as illustrated in fig 1(c). To study this left-skewed behavior of the (002) plane when MAI amount was altered, Williamson-Hall equation was applied to calculated the lattice strain and average crystallite size of the samples.

$$B_t \cos \theta = \frac{k\lambda}{L} + 4\varepsilon \cos \theta \tag{1}$$

$$B_t^2 = B_{measure}^2 + B_{Instrumental}^2 \tag{2}$$

B_t represent the sample line broadening, calculated using equation 2, k is the crystal constant, ~0.94, λ is the X-ray wavelength used in XRD testing, L is the average crystallite size, ε is the lattice strain, θ represent the angle, B_{measure} represent the peak broadening at FWHD, and B_{instrumental} is the line broadening results from the measuring instrument which can be obtained from the peak of the standard sample.

Sample	Lattice parameter (Å)			Average
	$\mathbf{a} = \mathbf{b}$	c	Lattice strain	crystallite size (nm)
MAPbI ₃ (3:1)	8.9644	12.6764	0.0312	115.206
MAPbI ₃ (2:1)	8.9097	12.6213	0.0091	448.697
MAPbI ₃ (1:1)	8.8826	12.5484	0.0080	435.742
$MAPbI_3$ (1:2)	8.9187	12.6212	0.0103	335.776
MAPbI ₃ (1:3)	8.8646	12.5122	0.0064	497.908
MAPbI ₂ Br	8.7758	12.4229	0.0103	337.370
MAPbIBr ₂	8.7234	12.3875	0.0096	354.750
MAPbI ₂ Cl	8.9644	12.5665	0.0250	142.675
MAPbICl ₂	8.9828	12.6212	0.0107	332.705

Table 1. Lattice normator lattice strain every a switchlite size of the normality semples

From table 1, it was noticeable that the lattice strain experienced by (3:1) ratio sample was higher compared to the equimolar ratio sample. The higher lattice strain experienced by (3:1) ratio sample originated from the vacancy occupation of excess MAI molecules, illustrated in figure 1(e). When excess MAI were mixed to the PbI2 powder, the cation A site will be occupied by more than one MAI molecules, stretching the crystal structure, creating some degree of strain on the crystal structure. The strain increased when more number of MAI molecules occupied the cation A site, stretching the crystal lattice. Besides, the peak for PbI2 hexagonal crystal structure can be observed on perovskite sample with ratio (1:2) and (1:3). The insufficient amount of MAI molecules to occupy the cation A site resulted in empty cation A site, which increased the tendency for the PbI2 tetragonal cage to form pure PbI2 hexagonal crystal structure. The peak intensity for PbI2 plane, located at ~12.6°, increased when the amount of PbI2 in the perovskite sample increased, as shown in the XRD spectra for both sample with ratio (1:2) and (1:3) respectively. No PbI2 hexagonal crystal structure peak can be observed in the (3:1) perovskite sample where all the cation A sites were occupied by the over sufficient MAI molecules, and therefore reducing the convertion tendency from PbI2 tetragonal cage to hexagonal crystal structure. The PbI2 hexagonal crystal structure peak can also be detected by XRD from hydrated perovskite structure upon longer exposure duration to the ambient environment. In this study, the XRD spectra were obtained instantaneously after the samples were prepared. Therefore, the possibility that the PbI2 hexagonal crystal structure peak originated from a hydrated perovskite sample was very insignificant and can be ruled out. Comparison in between hybrid-halide samples highlighted higher lattice strain experienced by the chloride-substituted sample than the bromidesubstituted sample. Chloride ions, with a smaller ionic radii compared to the bromide, created more vacancy when substituting iodide ions, causing the crystal space to shrink in order to reduce the vacancy space, generating more strain on the crystal lattice compared to bromide ions. However, when the amount of substitution ions, such as bromide and chloride, increases, the lattice strain experienced by the crystal structure reduced. In terms of lattice parameters, the bromide-substituted samples had a smaller value of lattice parameters, due to the bromide's smaller ionic radii. However, that was not the case of chloride -substituted samples. In spite of smaller ionic radii, the lattice parameter of the chloride-substituted samples were almost the same as the mono-halide samples. The radius of chloride ions might be too small to have any effect on the overall perovskite crystal parameter. With the study done on the effect of halogen substitution on the crystal structure of the perovskite, changed on the optical profile of the perovskite can be studied in the perspective of perovskites' crystal structure

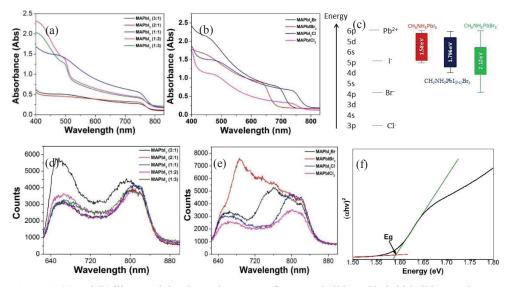


Figure 2: (a) and (b) illustrated the absorption spectra for mono-halide and hybrid-halide samples respectively, (c) illustrated the energy diagram of the mono-halide and hybrid-halide samples, (d) and (e) illustrated the photoemission spectra for mono-halide and hybrid-halide samples respectively, lastly (f) energy gap investigation using Tauc's plot technique.

No.	Sample	Tolerance Factor (t)	Energy gap (eV)	Absorption cross section	Photoemission cross section (10 ⁵)
1.	MAPbI ₃ (3:1)	0.9175	1.598	166.1	9.03
2.	MAPbI ₃ (2:1)	0.9175	1.582	162.2	6.52
3.	MAPbI ₃ (1:1)	0.9175	1.575	405.5	6.74
4.	MAPbI ₃ (1:2)	0.9175	1.566	378.9	7.05
5.	MAPbI ₃ (1:3)	0.9175	1.557	352.9	6.94
6.	MAPbI2Br	0.9233	1.710	470.5	8.56
7.	MAPbIBr ₂	0.9294	1.849	373.6	11.60
8.	MAPbI ₂ Cl	0.9271	1.597	421.9	7.20
9.	MAPbICl ₂	0.9376	1.590	268.7	5.97

 Table 2: The calculated tolerance factor and energy gap calculated using Tauc's plot technique.

Figure 2 (a), (b), (d) and (e) illustrated the absorption and photoemission spectra for mono-halide and hybrid halide perovskite respectively. The energy gap of the perovskite materials were obtained using the Tauc's plot method where the absorption coefficient, α of the materials were calculated using equation 3.

$$\alpha = \left(\frac{1}{t}\right) \left(\frac{A}{\log e}\right) \tag{3}$$

Where t represent the thickness of the sample, A is the absorbance of the material, and e is the electron charge ($e = 1.6 \times 10^{-19}$ C). Graph of $(\alpha hv)^2$ against hv was plotted, as shown in fig 2(f), where the intersection point of the two tangent point was the energy gap of the studied materials. The calculated energy gaps were recorded in table 2 where clear observation can be made where the energy gap widened when the amount of cation A added increases. Additional amount of cation A might played an important part in altering the total number of charge within the perovskite crystal structure, where different amount of free charges will altered the level of the Fermi energy. However, the electric field in perovskite samples were not generated by the free electrons, but from the overall charge of the perovskite structure due to the position orientation of the cation A. The lattice strain

experienced by the crystal lattice do have some degree of influence on the Fermi energy level, but the small lattice strain experienced by the crystal structure had no significant effect on the Fermi energy level in this case. From Schrondinger equation, illustrated in equation 4, the different in charges might affect the conduction level as well.

$$n = 2\left(\frac{2\pi m_e^* kT}{h^2}\right)^{3/2} exp\left(\frac{E_F - E_C}{kT}\right)$$
(4)

$$E_{F1} - E_{C1} = \log\left(\frac{n_1}{n_3}\right) (E_{F2} - E_{C2})$$
(5)

Equation 4 can be further derived into eq 5, explaining the relationship between different number of charges on the energy level of Fermi energy and conduction band energy level of two different samples. In this study, since the different in Fermi energy level due to the lattice strain was very insignificant, conduction energy level will be the main focus. The additional MAI molecules in cation A site might reduce the overall charge of the perovskite crystal by forming secondary bonding with the surrounding PbI₂ tetragonal cage, which were previously left unbounded. From eq 5, less charge will eventually widened, or increased, the energy level of the conduction band, results in the widening of the energy gap for the mono-halide samples. Another interesting fact regarding to the mono-halide samples was the absorption cross section of the (3:1) and (2:1) molar ratio samples. Samples with additional MAI molecules in cation A site showed low absorptivity compared to the other samples where samples with less MAI molecules absorbed more photons. The fact that additional MAI in cation A site formed more secondary bonding with the PbI₂ tetragonal cage might eventually (i) reduced the number of charges available to absorb photons and get excited, and (ii) reducing the internal electric field and increased the binding energy of the charges where more energy was required to excited the charges. Samples with depletion of MAI molecules show considerably high absorptivity and multi-bandgap can be observed with the second energy gap located around 2.2 eV, which was the energy gap of the PbI₂ hexagonal crystal structure.

Instead of altering energy level, MAPbI_{3-x}Br_x samples show yet another behavior in response to the halogen substitution, which was band-bending. The energy gap of the bromide-substituted samples lied right in between the energy gap value of mono-halide MAPbI₃ and MAPbBr₃ perovskites, illustrated in fig 2(c). When both PbI₂ and PbBr₂ powder were mixed with the MAI powder, both MAPbI₃ and MAPbBr₃ perovskite crystal phases were formed with their own respective electronic band structure. Both electronic band structure, especially valence and conduction band, underwent relocation of energy band, which was also known as band-bending, and results in an intermediate energy value. However, this was not the case for the chloride-substituted samples. Two crystalline phase, the MAPbI₃ phase and MAPbCl₃ phase, might also co-exist in the hybrid-halide chloridesubstituted samples. However, the energy level of the valence band for MAPbCl₃ might be too low to post any effect on the valence band of the MAPbI₃ phase. The valence band of the MAPbI₃ perovskite was formed by the I 5p orbital whereas the valence band of the MAPbCl₃ perovskite was formed by the Cl 3p orbital, where the conduction band of both perovskites were formed by the Pb 6p orbital. The difference in energy between I 5p and Cl 3p orbitals might be too great that most of the charges were excited from the I 5p orbital and not from the Cl 3p orbitals. Though, charges can still be excited from Cl 3p orbital at a longer wavelength, but the absorption can only took place at the forbidden zone between the I 5p prbital and Pb 6p orbital.

No sharp peaks were observed in the photoemission spectra illustrated in fig 2(d) and 2(e). This broadening peaks can be a results of degraded samples. However, sharp peaks were obtained from the XRD analysis, indicating that the samples were not degraded. Therefore, the broadening of the photoemission peaks were the only results of spin-orbit coupling effect. The spin-orbit coupling effect results in the splitting of orbitals into several small sub-orbital. With the splitted sub-orbital, electrons were relaxed to several different energy level, emitting photons with different wavelength depending to the energy level they ended up in. This phenomenon results in the broadened photoemission peak. The irregular crystal surface, results from the addition of MAI ions or substitution of ions with different ionic radii, gave rise to even more energy trap step, trapping the exciton before recombination on the valence band.

4 Conclusion

In conclusion, we studied the crystal structure as well as optical properties of the perovskite samples upon halide substitution and the relationship between the crystal structure and optical properties of this sample. Hybrid-halide experienced higher lattice strain compared to the mono-halide samples due to the difference in size between the substituted halide ions and the existing halide ions where substituting chloride ions generated more lattice strain compared to the bromide ions. In term of optical properties, band bending phenomenon can be observed in MAPbI3-xBrx samples when iodide ions were substituted by bromide ions. However, the energy gap of MAPbI3-xClx bends slightly when iodide ions were substituted by chloride ions, mainly due to the huge different between the Cl 3p orbital and I 5p orbital. Both spinorbit coupling and irregular surface or impurities due to the uncontrolled ambient environment gave rise to the formation of sub-orbital energy level and energy trap steps, resulting in energy loss during exciton recombination. This study aimed to provide some knowledge on providing new perovskite materials by studying the effect of ionic radii of different ions on the crystal structure and optical profile of the perovskite. The effect of halogen substitution on the full PSC device's performance need to be carried out in order to gain full understand on the halogen substitution effect.

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