

FLOW AND HEAT TRANSFER  
CHARACTERISTICS OF SUPERCRITICAL  
CARBON DIOXIDE IN MINI-CHANNELS

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We hereby declare that We have checked this thesis and in our opinion, this thesis is adequate in terms of scope and quality for the award of the degree of Master of Science in Mechanical Engineering.

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I hereby declare that the work in this thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at Universiti Malaysia Pahang or any other institutions.

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Thesis submitted in fulfillment of the requirements  
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**My Beloved Parents**

**MR. NARASIMMA NAIDU POTHARAJOO**

**MRS. PWSPHAWATHY RAMUNAIIDU**

**My Dearest Siblings**

**DR. DEVISRI NARASIMMA NAIDU**

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**SATISHWARA RAO NARASIMMA NAIDU**

**My Respected Supervisors**

**DR. AHMED NURYE OUMER**

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## LIST OF SYMBOLS

$A_s$	Surface area
$C$	Coil diameter
$C_p$	Specific heat capacity at constant pressure
$C_v$	Specific heat capacity at constant volume
$D$	Tube diameter
$d/2$	Radius of inner tube
$f$	Friction factor
$G$	Mass flux
$h$	Heat transfer coefficient
$ID$	Inner diameter
$j$	J-factor
$k$	Thermal conductivity
$L$	Length
$n$	Number of turn for coil
$Nu$	Nusselt number
$Nu_b$	Bulk Nusselt number
$p$	Pitch of coil
$P_{in}$	Inlet pressure
$P_{outlet}$	Outlet pressure
$Pr$	Prandtl number
$r_0$	Height of outer tube
$Re$	Reynolds number
$T_b$	Bulk temperature
$T_{in}$	Inlet temperature
$T_{out}$	Outlet temperature
$T_{pc}$	Pseudocritical temperature
$T_s$	Surface temperature
$T_w$	Wall temperature
$\dot{m}_{in}$	Inlet mass flow rate
$\rho$	Density
$\mu$	Viscosity
$\Delta P$	Pressure drop
$\dot{Q}$	Heat transfer rate
$\dot{Q}_{conv}$	Convective heat transfer rate

$T_\infty$       Temperature far from surface temperature  
 $\varepsilon$         Epsilon



## LIST OF ABBREVIATIONS

2D	2-dimensional
3D	3-dimensional
AKN	Abe-Kondoh-Nagano
ANOVA	Analysis of variance
CCD	Central Composite Design
CFC	Chlorofluorocarbon
CFD	Computational fluid dynamics
CO <sub>2</sub>	Carbon dioxide
DAQ	Data acquisition system
DC	Direct current
DOE	Design of experiment
GMDH	Group method of data handling
HCFC	Hydrochlorofluorocarbon
HT	Heat transfer
HVACR	Heating, Ventilation, Air Conditioning, and Refrigeration
ID	Inner diameter
LRN	Low Reynolds number
MCHE	Micro-channel heat exchanger
MPa	Megapascal
NCL	Natural circulation loop
NI	National Instrumentation
NIST	National Institute of Standard and Technology
O <sub>3</sub>	Ozone
ODE	Ordinary differential equations
PACO	Pressure Applied CO <sub>2</sub>
PAG	Polyalkylene glycol
PCS	Power conversing system
PTFE	Polytetrafluoroethylene
RNG	Renormalization group
RS	Radiospare
RSM	Response surface method
scCO <sub>2</sub>	Supercritical carbon dioxide
scH <sub>2</sub> O	Supercritical water
SPHINX	Supercritical Pressure Heat Transfer Investigation for Next

	<b>Generation</b>
SST	Shear stress transport
YS	Yang-Shih
2D	2-dimensional

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## ABSTRAK

Sejak kebelakangan ini, karbon dioksida tahan kritikal (scCO<sub>2</sub>) telah mulai digunakan dalam pelbagai aplikasi kejuruteraan, terutamanya dalam industri Pemanasan, Pengudaraan, Penyaman Udara dan Penyejukan (HVAC&R). Ini adalah kerana scCO<sub>2</sub> mempunyai sifat thermal yang unik dengan ciri-ciri pemindahan haba dan aliran yang telah dipertingkatkan. Beberapa pemboleh ubah dari segi proses dan geometri mempunyai kesan terhadap prestasi hidraulik dan haba scCO<sub>2</sub>. Walau bagaimanapun, pemboleh ubah tersebut tidak diselidik sepenuhnya. Kajian ini telah dijalankan untuk menyelidik kesan-kesan pemboleh ubah proses (tekanan, suhu dan kadar aliran) dan geometri (diameter tiub) terhadap aliran dan pemindahan haba scCO<sub>2</sub>. Selain itu, ciri-ciri pemindahan haba dan kejatuhan tekanan untuk sistem scCO<sub>2</sub> dalam tiub lurus dan tiub heliks telah dianalisis dengan menggunakan eksperimen dan simulasi. Semua pemboleh ubah proses dan geometri telah dioptimumkan dalam mendapatkan pemindahan haba yang lebih tinggi, dan kejatuhan tekanan yang rendah. Model simulasi telah dibina berdasarkan andaian bahawa aliran scCO<sub>2</sub> tidak boleh dimampat, turbulen dan tidak isotherma. Modal yang dibuat untuk tiub lurus telah disahkan dengan menggunakan keputusan eksperimen yang dikumpul daripada kesusasteraan. Manakala, untuk tiub heliks, kedua-dua simulasi dan eksperimen telah dijalankan bagi pelbagai pemboleh ubah: tekanan (7.0 MPa - 10 MPa), suhu (35 °C - 80 °C), kadar aliran (10 L/min - 35 L/min) dan diameter tiub (2.8 mm - 4.5 mm). Peratus perbezaan antara suhu dari model simulasi dan eksperimen adalah kurang daripada 10%. Ini membuktikan bahawa model simulasi yang telag dibuat adalah sah, dan boleh digunakan untuk menyelidik keunikan scCO<sub>2</sub>. Kedua-dua keputusan eksperimen dan simulasi menunjukkan bahawa pemindahan haba mencapai nilai yang tertinggi bila tekanan dan suhu system scCO<sub>2</sub> berhampiran titik pseudo-kritikal. Apabila tekanan dalam system tersebut ditingkatkan melebihi tekanan kritikal, pemindahan haba berkurang, tetapi ia meningkat apabila kadar aliran dalam sistem ditambahkan. Manakala, pemindahan haba meningkat apabila diameter tiub yang lebih digunakan, berbanding dengan diameter tiub yang besar. Kejatuhan tekanan pula berkurang apabila tekanan dalam sistem ditambahkan, tetapi ia meningkat bersama dengan kadar aliran. Selain itu, keputusan analisis sensitiviti Nusselt number dan kejatuhan tekanan menunjukkan pemboleh ubah yang terbaik untuk scCO<sub>2</sub> dalam proses penyejukan. Tekanan sistem yang berdekatan dengan titik kritikal, diameter tiub yang kecil, dan kadar aliran yang tertinggi boleh mencapai pemindahan haba yang efisien dan juga kejatuhan tekanan yang rendah. Manakala, suhu sistem yang meningkat boleh menyebabkan pemindahan haba merosot. Gabungan pemboleh ubah ini boleh membantu mengurangkan kuasa pam yang dikaitkan dengan kejatuhan tekanan.

## ABSTRACT

Supercritical carbon dioxide (scCO<sub>2</sub>) is being used in many engineering applications because at supercritical stage, it has unique thermal properties with enhanced heat transfer and flow characteristics. Carbon dioxide (CO<sub>2</sub>) at supercritical phase is being used recently in Heating, Ventilation, Air Conditioning, and Refrigeration (HVAC&R) industries due to its special thermal properties of supercritical CO<sub>2</sub>. However, the effects of some process and geometrical parameters on the thermal hydraulic performance of scCO<sub>2</sub> are not fully examined. Thus, the aim of this study is to develop single phase flow and heat transfer model and to investigate the effect of some process parameters (inlet pressure, inlet temperature, and inlet flow rate) and geometrical parameters (Tube inner diameter and tube shape) on the performance of scCO<sub>2</sub> cooling process. For the numerical investigation, two cases were considered: straight and helical tubes at various tube diameters. The model was developed based on the assumption that the scCO<sub>2</sub> flow is incompressible, turbulent and non-isothermal. The developed numerical model was validated using experimental data from open literature for the straight tube and by conducting experiments for the helical tube case. Both the simulation and experiment were performed at various input parameter range: pressure (7.0 MPa – 10 MPa), temperature (35 °C – 80 °C), flow rate (10 L/min – 35 L/min) and tube diameter (2.8 mm – 4.5 mm). The model validation results indicated that the average percentage error between the simulation and experimental results were less than 10%. This indicates that the developed model can be used to predict the performance of scCO<sub>2</sub> cooling process. Both the experimental and simulation results indicated that the heat transfer coefficient reaches peak value near the pseudo-critical point. Heat transfer coefficient decreased as inlet pressure increased beyond critical point but increased with increasing flow rate. Meanwhile, highest pressure drop value was recorded near the critical point. On the other hand, the smaller the inner tube diameter the higher the heat transfer coefficient will be. The pressure drop in a system decreased when the system inlet pressure is increased but increased with increasing flow rate. Besides, the sensitivity analysis results of Nusselt number and pressure drop indicate that the best input parameters in scCO<sub>2</sub> cooling. Inlet pressure with value near critical point, smaller tube *ID* and higher flow rate could achieve both enhanced heat transfer and low pressure drop at the same time. However, increasing inlet temperature could deteriorate heat transfer rate even though lower pressure drop was attained. These parameter combinations could help reducing the pumping power associated with pressure drop.

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