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Research trends in mining accidents study: A systematic literature review



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ABSTRACT

Mining is well known as a high-risk industry with high accident rates. However, there is a scarcity of material that aims to investigate and understand the research trends in mining accidents and the current scenarios related to this topic. Therefore, the objective of this systematic review was to investigate the research trends in mining accidents. By applying a Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) review method, a systematic literature review (SLR) identified 57 studies related to mining accident issues from 2015 to 2019 from the ScienceDirect and Scopus databases. Based on these 57 studies, four main themes were developed: the main causes of mining accidents (46%), the prevention of mining accidents (20%), and the challenges of (17%) and impacts of post-mining accidents (17%). The four themes produced a total of 35 sub-themes. Mechanical failure was identified as the main cause of mining accidents and the application of software or safety models is essential to minimize the number of mining accidents. Mine owners have the responsibility to provide a safe working environment for mine workers, and face substantial challenges to achieve this. Moreover, the impact of post-mining accidents led to adverse impacts on the environment. This systematic review study aims to assist mine owners by providing a better understanding of mining accident issues. The study also addresses miners, government and policymakers so all groups can collectively target the reduction of mining accidents in the future.

1. Introduction

Mining is a high-risk occupation and considered one of the oldest industries in the world (Jiang et al., 2017). Mining accidents, hazards, and disasters have a number of similarities in terms of their significant impacts on the victims (Lyra,2019), mine owners (Li et al., 2019), mine workers (Aliabadi et al., 2018; Li et al., 2019), governments (Pons, 2016; Lyra, 2019), policy-makers (Kong et al., 2018; Düzgün & Leveson, 2018), economy (Fu et al., 2019; Xiao et al., 2019; Shao, 2019), and local communities (Lyra, 2019), as well the environment and human health (Dam et al., 2018; Shao, 2019; Cordeiro et al., 2019). The development of various acts, policies and regulations related to mining industry are aim to manage the mineral exploitation in a responsible manner, ensure the mining activities can operate smoothly and avoid mining accidents or disaster. For example, the Directorate General of Mines Safety (DGMS) was established in India has served the country for more than 119 years in mining industry. This organization aims to regulate enforcement of the Mines Act 1952 and the legislations related to it (https://dgms.gov.in/). Moreover, National Mineral Council in Malaysia has launched the National Mineral Industry Transformation Plan (TIM 2021-2030) to develop mining and mineral industry in a comprehensive manner and to ensure the sustainability of mineral industry in Malaysia (https://www.ketsa.gov.my/) All these efforts also aim to prevent the mining accidents or disasters by providing a proper guideline or standard operating procedure during mining operation. Despite having various mine acts, policy and regulations, there is scarce systematic literature review (SLR) conducted that reviews or highlights the current research trends in the mining industry worldwide. The main research question guiding this systematic review is: what research trends have been identified in studies of mining accidents? In fulfilling the objective of this study, such domains and variables derived from this study could contribute new knowledge for future scholarly work. It is important to understand this, and make suggestions to improve this sector, especially in relation to mining accidents. Therefore, the study

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aims to analyze the research trends in studies of mining accidents by applying a systematic literature review with an approach using the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) method.

2. Methodology

Kitchenham (2004) states a systematic literature review is "a means of identifying, evaluating and interpreting all available research relevant to a particular research question, or topic area, or phenomenon of interest". Systematic literature review research can be differentiated from traditional narrative reviews in that it adopts a replicable and detailed methodology (Cook et al., 1995). The objective of a systematic literature review (SLR) is to locate, search, and synthesize literature systematically related to previous studies or research in a well-organized and transparent process, using replicable procedures throughout each step. Systematic reviews can also be called meta-narrative reviews (Wong et al., 2013) or mixed studies reviews.

The Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) was used to establish a systematic literature review (SLR) of recent trends in mining accident studies. PRISMA is well known in environmental management studies, social sciences, safety studies and many other fields. The ScienceDirect and Scopus databases

were chosen for this SLR study, and the PRISMA procedure was applied, that is, the steps of the review process included the identification, screening, eligibility and exclusion criteria process. The data abstraction and analysis were included, as shown in Fig. 1. Furthermore, three advantages of using PRISMA in SLR (Sierra-Correa and Cantera Kintz, 2015) studies are: 1) PRISMA states a clear research question, 2) it screens inclusion and exclusion criteria, and 3) it uses the scientific literature databases within a required timeframe. PRISMA is a very wellknown approach to conducting SLR in various fields, such as social sciences (Shaffril et al., 2019), medicine and healthcare (Danielli et al., 2021; Chinwah et al., 2020; Vásquez-Cárdenas, et al., 2019), business and management (Cubric et al., 2020), safety research (Adaku et al., 2021; Nyoni et al., 2019) and many others. The four main steps involved in PRISMA are identification, screening, eligibility, and data abstraction and analysis. Therefore, the PRISMA approach benefits an SLR study because it utilizes systematic steps to achieve the objective of the study. Moreover, there are few systematic literature reviews of recent research trends in the mining industry.

2.1. Identification phase

The first phase in the systematic review process is identification, which was performed in September 2020. The process involved

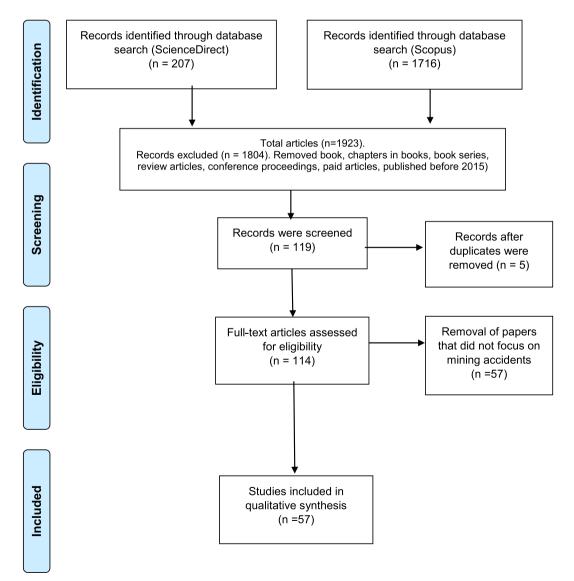


Fig. 1. The flowchart of the PRISMA approach used in the SLR study. (Adapted from Moher et al., 2009; Shaffril et al., 2019).

keywords identification for information searching purposes. According to Moher et al. (2009) at least one database should be presented. In this case, two leading indexed databases were used for this review: ScienceDirect and Scopus. They were chosen since both are considered the leading indexing systems for citations and have great reputations for publishing scientific articles. ScienceDirect consists of more than 18 million articles and chapters and over 2,500 academic journals (https://www.elsevier.com/solutions/sciencedirect). Scopus is a wellknown database containing over 7000 publications from various fields, including medicine, arts and humanities, social sciences, science, and technology (https://www.elsevier.com/solutions/scopus). Because of their prominence, these two indexed databases were selected, a vital step to ensure the quality of the articles reviewed in this paper. The current review relied on several relevant information sources, such as encyclopedias, dictionaries, and thesauri. It also used keywords from previous literature and those suggested by Scopus and ScienceDirect as keyword synonyms, possible related terms, and other variations on the term 'mining accidents'. The search string used for both databases was;

TITLE-ABS-KEY ("mine accidents" OR "mining accidents" OR "mine accident" OR "underground mine accident" OR "surface mine accident" OR "mine disasters" OR "mining disasters" OR "mine hazard" OR "mine casualty")

This process yielded 207 documents from ScienceDirect and 1716 documents from Scopus.

2.2. Screening phase (Inclusion and exclusion criteria)

The 1923 identified articles from the two databases, based on the search strings (refer identification phase), underwent the second phase, as shown in Fig. 1. Screening is a process of including or excluding articles according to criteria determined by the authors and with the assistance of the specific databases. In the screening process, the eligibility, inclusion, and exclusion criterion were determined to find suitable articles to be included in the systematic review process. The criteria for inclusion and exclusion were set by the authors in the SLR study as shown in Table 1.

First, a publication timeline between 2015 and 2019 was selected, based on the total number of related publications retrieved and to be reviewed. The second inclusion criterion was based on types of documents. From both databases, article journals were chosen as this criterion. Review articles, books, chapters in books, and conference proceedings were excluded because they were not considered primary sources. The third criterion for inclusion and exclusion was language. All non-English language documents were excluded to avoid confusion and difficulties in translation (see Table 1). After the identification process, the 1923 articles were screened. After the screening stage 119 articles had been obtained (see Fig. 1).

2.3. Eligibility and duplication exclusion (Manual screening)

Eligibility is a process that includes or excludes articles manually according to the authors' specific criteria. The articles retrieved were thoroughly reviewed in the process, excluding any articles that did not meet these criteria. Before the eligibility process was performed,

Table 1

The criteria for inclusion and exclusion.

Criteria	Inclusion	Exclusion
Publication timeline	2015–2019	2014 and before
Document type	Journal (research articles)	Conference proceedings, Journals (systematic review), chapters in book, book series, books, etc
Language	English	Non-English

duplicate documents were removed. Five similar articles in both databases were excluded from the next phase, which left 114 documents for the eligibility process. These were screened manually for literature focusing on mining accidents and the criteria from the earlier screening processes (inclusion and exclusion criteria). The review finally obtained 57 selected articles for the systematic literature review of research trends in mining accidents.

2.4. Data abstraction and analysis

The fourth phase is data abstraction and analysis. The remaining articles were evaluated, reviewed, and analyzed; the 57 selected articles (studies) are discussed in detail in this paper, as tabulated in Table 2. The reviews were based on specific studies that matched and focused on the research question. The studies were then extracted to identify relevant themes and sub-themes for the current study by reading the titles and then the abstracts, before a thorough (in-depth) reading of the full article texts. An integrative review was conducted, which is a kind of review synthesizing different types of research designs (qualitative, quantitative, and mixed methods).

In order to identify themes related to the research trends in mining accident studies, a thematic analysis was carried out. The main issues, similarities and differences highlighted and portrayed in the 57 articles were identified and categorized; this is known as thematic analysis. To construct themes in this SLR study, six steps were followed in the thematic analysis and were suitable for the qualitative analysis, as proposed by Nowell et al. (2017). The steps include:

- i. Familiarization with the data (understand and analyze the 57 articles),
- ii. Generating initial code (identify the similarities and differences of the issues discussed in the 57 articles),
- iii. Creation of identifiable themes (create the suitable themes based on the identified similarities and differences in the 57 articles)
- iv. Reviewing themes (ensure the proposed themes and subthemes are within the main context of each article)
- v. Defining and naming themes (four main themes and 35 subthemes were created in this study based on the 57 articles)
- vi. Producing a report (in this case, this refers to the SLR study)

3. Results

3.1. Research trends in mining accident studies

The review managed to obtain 57 selected articles from ten countries, which are China, Turkey, India, Ghana, Spain, New Zealand, Brazil, South Africa, Iran, and the United States of America. The SLR study obtained nine articles published in 2015 and eleven published in 2016. Other articles were published in 2017, 2018 and 2019 with a total of six, thirteen and eighteen studies, respectively. The number of mining accident studies from various countries from 2015 to 2019 was established. China was a leading country for published articles, with 36 articles (studies) relating to mining accidents, followed by Brazil (5 studies). South Africa and Spain contributed three studies each. Iran, the USA, India and Turkey contributed two papers each, while one paper was from Ghana and one from New Zealand. Six main types of mining accidents were reported in both databases, which occurred in coal, copper, gold, platinum, lead-zinc, and iron ore mines between 2015 and 2019. Most accidents occurred in coal mines, such as those reported in China (34 studies), followed by iron ore mines (4 studies) in Brazil and platinum mines (2 studies) in South Africa. Furthermore, fourteen studies were qualitative articles while another twenty-three studies used a mixed-method (qualitative and quantitative) approach. The remaining studies (20 studies) were quantitative articles, as shown in Table 3.

By applying a thematic analysis, four main themes and thirty-five sub-themes were created. These were the main causes of mine 4

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Table 2 (continued)										
Authors	Country	Type of	Main study	1. Main cause			2. Prevention	3.	3. Challenges 4.	4. Impact
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16 sub-themes;					7 sub-themes;		6 sub-themes;	9	6 sub-themes;	QN = Quantitative
HUE= Human error		PSC=	PSC= Poor safety culture	ılture	TAD= Technolc	TAD= Technology advancement	MOW= Mine owner	н	HUH= Human health	QL = Quantauve MM = Mixed-Method
MEF= Mechanical failure		MNI	INW= Inexperienced worker	d worker	SAM=Software and Model	and Model	MEN = Mine enterprise	н	ENV= Environment	
USB=Unsafe behavior		PSA⊧	PSA= Poor safety awareness	wareness	EDU= Education	u	GOA = Government action	ŝ	SOC= Social impact	
GEO=Geological factor		LBS=	= Leadership be	LBS= Leadership behavior of supervisor	REG = Regulations	ions	STE=Stakeholder engagement		IOG= Impact on government	
UNA=Unsafe act		PSR-	PSR= Poor safety record	scord	TRG= Training		POM= Policy-maker	E	ECL= Economic Loss	
PWE =Poor workplace Environment	/ironment	LRR	= Lack of rules	LRR= Lack of rules and regulations	IMS= Improve	IMS= Improve mine supervision	COM= Community	Г	LLO= Life Loss	
LST= Lack of safety training	ß	OZD	OZD=Organizational deficiency	al deficiency	GPY = Government policy	nent policy				
LSE=Lack of safety education	ion	PSM	PSM = Poor safety management	management						

accidents (sixteen sub-themes), prevention of mining accidents (seven sub-themes), challenges presented by post-mining accidents (six subthemes) and the impact of post-mining accidents (six sub-themes). The results from the thematic analysis of the research trends in mining accident studies are shown in Table 3. A total of 57 articles were obtained and analyzed based on the PRISMA approach. The results are presented in statistical form, such as the percentages of the four themes and how frequently the subthemes appeared in the articles, according to the four main themes and 35 subthemes, as shown in Table 2 and Fig. 2, respectively. The sixteen sub-themes encompassing the main cause theme accumulated 45.7%; meanwhile, those of prevention, challenges and the impact of mine accidents resulted in 20.1%, 17.1% and 17.1%, respectively, as shown in Fig. 2. Fig. 3 illustrates the distribution of the articles by main themes and sub-themes, based on the thematic analysis. The highest reported numbers emerging under the theme of the main causes of mine accidents involved mechanical failure, with a total of 32 studies, followed by poor safety management (19 studies) and leadership behavior of supervisors (18 studies). The subthemes of utilizing software and models (21 studies), followed by training (14 studies) and improvements in mine supervision (12 studies) were among the studies reported under the prevention of mine accidents main theme. The detailed results of the SLR on research trends in mining accident studies are summarized in Table 2.

4. Discussion

4.1. Main causes of mine accidents

The theme of the main causes of mine accidents has 16 main subthemes, consisting of human error (15 studies out of 57), unsafe behavior (16 studies), unsafe acts (7 studies), lack of safety training (13 studies), lack of safety education (9 studies), inexperienced workers (12 studies), leadership behavior of supervisors (18 studies), organizational deficiencies (16 studies), mechanical failure (32 studies), geological factors (9 studies), poor workplace environment(15 studies), poor safety culture (6 studies), poor safety awareness (7studies), poor safety record (4 studies), lack of rules and regulations (8 studies) and poor safety management (19 studies).

The most common main cause of mining accidents was mechanical failure. Thirty out of 57 studies reported mechanical failure as a significant cause of mining accidents. This is quite surprising because most industries, such as construction (Kim et al., 2021) and manufacturing (Guo et al., 2020), put the blame on human factors as the main contributors to accidents. In contrast, mechanical failure was the dominant factor contributing to accidents in the mining industry, according to the SLR results. Poor mechanical design of features such as tailing dams, the structure of underground coal mines, ventilation systems and shaft systems contributed to the number of accidents in the mining industry. Moreover, the failure of blasting and explosives equipment could also lead to mining accidents. Mining activities also involved heavy-duty work and the use of machinery or transportation, such as lorries, trucks and excavators. All these require proper inspection and maintenance. The age and poor quality of machinery and transportation could lead to mining accidents or disasters. Table 3 shows examples of mechanical failure in coal, gold, iron ore and zinc-lead mine accidents. Other key contributors to mining accidents are highlighted in Table 4. For example, management commitment is critically required to ensure the use of high standard safety practices. The management must also understand and implement the concept of 'safety first' among mine workers to ensure accidents can be avoided. In addition, the most important actions the management could take are to allocate money for, or invest in, safety activities.

4.2. Prevention to reduce the occurrence of mining accidents

The second theme of the SLR study is prevention to reduce the

Examples of mechanical failure in various types of mine.

Type of mine accidents	Country	Author	Examples of mechanical failure that caused mine accidents
Coal mine	China	Tianwei et al., 2015)	Poor mechanization
accidents		(Geng and Saleh, 2015)	Roof collapse, Water inrush, machinery failure
		(Zhang et al., 2016b)	Mine refuge chamber (MRC) failure, unsafe conditions of the equipment, no safety device, alarm, safety signs, etc.
			No protective measures (such as non-safety device, no alarm signs, no safety sign, etc.). Flawed equipment, tools,
			facilities, and accessories; defective protective equipment
		(Wang et al., 2016b)	Problems in mining mechanization, ventilation equipment, dust proof equipment, drainage equipment lifting and
			transport equipment, mechanical and electrical equipment, gas drainage equipment
		(Wang et al., 2018)	Machine & equipment failure
		(Yu et al., 2018)	Shaft lining failure
		(Shi et al., 2018)	Electrical, blasting and friction spark
		(Xu & Xu, 2018)	Mechanical injury, electric shock, crashing from the high roof-fall, wall collapse, vehicle injury, mechanical injury
		(Wang & Zhang, 2019)	Rock burst
		(Qiao et al., 2019)	Gas explosion caused by electric spark, roof failure
		(Tong et al., 2019)	Ventilation problem, faulty ventilating equipment, blasting problem, electrician working problem
		(Chen et al., 2019)	The pillar strength was insufficient
		(Fu et al., 2019) (Qin et al., 2019)	Blasters problem Rock burst due to drilling cuttings
		(Xiao et al., 2019)	Electromechanical accidents.
		(Xiang et al., 2019)	Explosion-proof equipment and facilities failure, failure of explosive equipment, overload in demand for
		(Malig et al., 2019)	replacement subsystem, failure of multiple subsystems, explosive mixture in the drift, problem of controlling
			equipment.
	Brazil	Cordeiro et al., 2019)	Poor design of mine tailing/dam
	Spain-	(Sanmiquel-Pera &	Insufficient safety guard for electrical equipment, spark in the electrical light system, ventilation system problem
	-	Bascompta, 2019)	
	New	(Pons, 2016)	Poor design of underground ventilation system
	Zealand		Mine ventilation was not working well.
			Misplaced main ventilation fan underground
	India	(Dash et al., 2016)	Inrush of water/inundation
	USA	(Düzgün & Leveson, 2018)	Inadequate system control constraints, ventilation problems, inadequate precautions against methane explosion,
			mine monitoring systems failure, support systems failure
Gold mine	Ghana	(Clarke, 2015)	Entrapment from collapse of mine pits, crushing, explosions, fires
accidents	South	(Find et al., 2018)	Pillar collapsed
	Africa		
Iron ore mine accidents	Brazil	(Francini-Filho et al., 2019)	Ore tailing dam ruptured (mechanical design)
		(Lyra, 2019)	Tailings dam failures (mechanical design)
		(Dam et al., 2018)	Mining dam collapsed due to improper design (mechanical design)
		(Grande & Science, 2017)	Mining dam collapsed due to improper design (mechanical design)
	China	(Morisson et al., 2019)	Mining dam failure (mechanical design)
Lead-zinc mine	Spain	(Gil-Jiménez et al., 2017)	Dam failure/ dam burst
accidents			
Platinum mine	South	(Bonsu et al., 2017)	Equipment failure
accidents	Africa		

occurrence of mining accidents. Seven sub-themes emerged here, including technology advancement (10 studies), software and models, education, regulations, training, improvement to mine supervision and government policy. Most mining accidents were reported in underground coal mines; for example, a rock roof collapsed, or there was a methane gas explosion or flooding (Liu et al., 2018b). In terms of the sub-theme of technology, few researchers have reported on the latest

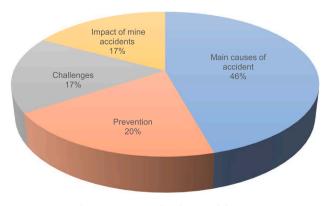


Fig. 2. Percentage distribution of themes.

technology that can be used to prevent coal mine accidents, as shown in Table 5. Technology can help improve mining activities, increase production and prevent mining accidents.

The benefits of the application of software or models are undeniable today. Twenty-one out of 57 studies reported on the use of software and models to analyze various causes of mining accidents, and to prevent and predict future accidents. Table 6 shows the SLR results on the application of software and models for mine accident analysis and prevention.

Another important way to prevent mining accidents is by enhancing the education and training of mine workers. This is essential because providing effective safety education can produce knowledgeable and skillful miners. A safety philosophy should become part of the safety culture among miners through the implementation of safety training, safety education, safety inspections, safety meetings, potential risk identifications, information feedback, and safety measures. Moreover, safety education for young miners in China, is necessary to educate workers about preventing accidents in the coal mining industry in China (Li et al., 2019). Meanwhile, studying coal mine accidents in India, Dash et al. (2016) concluded that educating miners to remove the culture of denial from the system, especially when some critical decisions are taken, is important in mine accident prevention. Furthermore, Wang et al. (2018) analyzed 58 coal mining fatal accidents in Shandong,

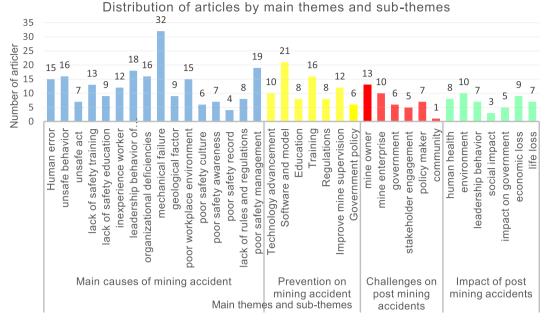


Fig. 3. Distribution of articles by main themes and sub-themes in the SLR study.

China, from 2010 to 2014 and concluded that safety education is important to prevent mine accidents. Moreover, the proper training of staff is a form of investment that mining companies can make in order to prevent mine accidents arising from the rule violations committed by mine workers (Clarke, 2015).

Enforcement of regulations is another way of mine accident prevention. For example, in China, due to the abundance of coal mine accident cases reported, the enforcement of regulations by mine supervisors and mining companies is critical to ensure a safe environment for coal mining operations. According to Pons (2016), officers should ensure they have a system of gathering information on safety incidents, violation actions and the performance of the mining company as an organization. Meanwhile, Wang et al. (2018) recommended the reinforcement of safety policies, including imposing penalties on miners' rule infringements. A Plan-do-check-act (PDCA) can be implemented to monitor the effectiveness of rules and regulations, safety inspections and management. This view is also supported by Qiao et al. (2018) who mentioned the attitude of employees towards complying with the company rules and regulations. Furthermore, violations of rules and regulations contribute to major accidents in underground coal mines (Sanmiquel et al., 2015). Therefore, mining companies should provide adequate training and communication channels to workers, and hire miners in good physical condition to perform specific tasks. Another important mine accident prevention strategy is to improve mine supervision (Xiang et al., 2019; Li et al., 2019; Tong et al., 2019; Nie et al., 2019). A system to collect evidence-based statistics on organizational performance was suggested by Pons (2016) to improve the mine supervision system. The training factor had the greatest impact on unsafe behavior. Therefore, mine supervisors should be required to attend a series of training sessions to improve their knowledge (Qiao et al., 2018). Training, capacity building and the implementation of a safety culture should be imposed in the technical, social and management components of the mining system (Düzgün & Leveson (2018). In addition, Wang et al. (2018) suggested strengthening safety supervision. It is vital to strictly implement safety supervision so as to locate, identify and address safety problems in production in a timely manner. The transparency of government policy can give a clear signal to mining companies to abide by the rules and legally operate their mines. Government policy is the seventh subtheme, with a total of 6 studies reported (Chen et al., 2015; Düzgün and Leveson, 2018; Geng and Saleh, 2015; Kong

et al., 2018; Pons, 2016; Qiao et al., 2019). The SLR found no specific names for such policies but, in general, the establishment of legislation for internal and external safety investigations is necessary to identify the actual safety problems (Düzgün & Leveson, 2018). Meanwhile, promotion incentives given by local politicians would significantly increase firm-level employment growth and increase labor investment efficiency (Kong et al., 2018).

4.3. Challenges of post-mining accidents

The third theme of the SLR study is the challenges of post-mining accidents. There are six sub-themes under this theme, involving mine owners (13 studies), mine enterprises (10 studies), governments (6 studies), stakeholder engagement (5 studies), policy-makers (7 studies) and communities (1 study). Mine owners have a great responsibility to ensure continuous improvement in coal mine supervision systems and ensure all the safety regulations are followed by all staff, including mine workers. The challenges of post-mining accidents are summarized in Table 7.

4.4. Impact of post-mining accidents

There were various impacts of post-mining accidents, based on the SLR study and as shown in Fig. 4. These negative effects were on human health and psychology. For example, noxious gases such as sulfur dioxide, and nitrous fumes from explosive dynamite blasts in gold mine accidents can cause post-accident respiratory problems (Clarke, 2015). Arsenic can cause cancer, and cardiovascular and neurological damage, while cadmium can cause kidney damage and create the risk of prostate and respiratory cancer. In addition, when performing manual handling of loads in opencast mines or quarries, it is highly probable that workers will suffer accidents related to the musculoskeletal system, as reported by Sanmiquel et al. (2015) as coal mine accidents in Spain have shown. In contrast, Nie et al. (2016) studied the physiological changes of people trapped in coal mine accidents. The results indicate that these accident victims experience intense physiological and psychological changes, such as systolic blood pressure, pulse pressure and pulse rate changes.

The environmental impacts due to coal mining accidents include soil erosion, water pollution, the shortage of clean water supplies and impacts on local biodiversity. In Ghana, the issue of mercury pollution that

Examples of main causes of mining accidents.

T	Example	References
Human error	Inadequate safety training	Geng and Saleh (2015); Xiang et al. (2019); Li et al.
	Supervisor's violation	(2019); Tong et al. (2019; Bonsu et al. (2017)
	 Miners' failure to fully understand the high possibility of accidents 	
	Unskilled miners	
	Poor workplace	
	Lack of training	
Jnsafe behavior	Utilized unsafe equipment,	Zhang et al. (2016b); Qiao et al. (2018); Chen et al.
	Inappropriate goods storage,	(2019)
	Stayed in an unsafe place,	
	• Stayed under the lifting machine,	
	 Ignored the importance of personal protective equipment, 	
	• Ignored warning signs,	
	Wore unsafe attire	
	Lack of training factor	
	Poor attendance factor	
	Lack of experience factor	
	Age factor	
	• Poor fitness for duty	
	Insufficient experience	
nsafe act	Unworn respirators	Jiang et al. (2017)
	Neglect of gas detection requirements	Liu et al. (2018a)
	Failure of underground gas detection	
	No pre-check done on the equipment	
	Preconditions of unsafe acts include theinadequate planning of	
	team resources management	
	• personal readiness	
	• mental state	
	physiological state	
	physical environment	
	• physical capacity	
	intellectual limitations	
	• technological environment.	
ack of safety training	Lack of safety course and training	Geng and Saleh (2015); Zhang et al. (2016b); Bonsu et
	Unaware of safety protocol	(2017); Sanmiquel-Pera & Bascompta (2019).
ack of safety education	Lack of safety education among mine workers	Qiao et al. (2018), Qiao et al. (2019); Li et al. (2019;
		et al. (2019)
Inexperienced worker	• Failure to understand production process safety instructions	Zhang et al. (2016b)Sanmiquel et al. (2015)Düzgün a
	 Failure to encognize the major issues of a process that has high potential for accidents 	Leveson (2018)
	Unskilled worker handling machine or equipment without first understanding the	
	operating procedure and proper safety precautions.	
eadership behavior of	Inadequate supervision	Liu et al. (2018a); Aliabadi et al. (2018)Pons, (2016)
supervisor	Inappropriate operation plan	En et u. (2010), finibidi et u. (2010) (003, (2010)
supervisor	 Inappropriate operation plan Unresolved problems and violations of supervisor duties are the common issues of 	
	supervisor leadership	
	Poor production atmosphere	
	Poor production atmospherePoor communication and coordination	
reconizational	 Poor production atmosphere Poor communication and coordination Improper safety measures led to incorrect decisions by supervisor 	Correiquel et al. (2015) Aliabadi et al. (2010) Aliabad
0	 Poor production atmosphere Poor communication and coordination Improper safety measures led to incorrect decisions by supervisor Poor management 	
rganizational deficiencies	 Poor production atmosphere Poor communication and coordination Improper safety measures led to incorrect decisions by supervisor Poor management Poor coordination 	Sanmiquel et al. (2015)Aliabadi et al. (2018)Aliabad et al. (2018); Lyra (2019).
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(continued on next page)

Main cause of accidents	Example	References
Poor safety management	 Incomplete or poor execution of rules and regulations Lack of coordination among workers Poor rules and regulations, Inefficient management, Lack of supervision and inspection Managers should provide proper safety equipment Provide a good communication channel for workers Improve safety behavior and reinforce safety commitment. Ineffective safety policy Poor safety organization structure, inefficient safety management No safety training system The lack of a supportive environment is a sign of poor management commitment to safety 	Li et al. (2019); Xiang et al. (2019); Fu et al. (2019); Aliabadi et al. (2018); Wang et al. (2018)

Table 5

Examples of technology used	for underground coal m	ine accident prevention.

Technology	Main purposes/benefits	References
Coal seam ascending for underground coal mine in	Can increase the recovery rate and upgrade mine safety production	Tianwei et al. (2015)
China	 Increases the stope stability. 	
	 Capable of preventing hard rock mining from causing deep mine disasters, improving economic and social aspects 	
Mine refuge chamber (MRC)	Prevents gas explosions.	Geng and Saleh
	MRC provides 96-hour water supply	(2015)
	Breathable air, food and other supplies for emergency events in an underground coal mine	
Underground continuous impervious curtain (UCIC)	Prevents failure of the shaft lining, which occurs in deep alluvium of coal mine	Yu et al. (2018).
Microseismic Monitoring Method	 Predicts the occurrence of rock burst by combining the drilling cuttings and releasable elastic deformation energy 	Qin et al. (2019)

Table 6

Name of software or model	Main objective	References
USINH Software and Flac3D	 To study the feasibility of coal seam ascending mining in order to prevent overlying rock and its deformation characteristic 	Tianwei et al. (2015)
Markov model	1. To investigate the policy of the mine safety supervision system	Chen et al. (2015)
Weka v. 3–7-8 software (Waikato Environmental for Knowledge Analysis)	1. To develop suitable prevention policies to reduce injuries and fatalities.	Sanmiquel et al. (2015)
SIMPLIS and SPSS software	 To study the correlation between employee security and mine accidents. 	Zhu et al. (2015)
	To provide a theoretical foundation for the research of security management.	
Bayesian analysis model	 To analyze the main cause of the Soma mine accident and predict potential similar accidents occurring in future 	Spada & Burgherr (2016) Chen et al. (2019)
Fuzzy Analytical Hierarchy Process (FAHP)	1. To determine the safety level of an underground coal mine	Shi et al. (2017)
	2. To avoid methane explosions in an underground coal mine	
Fuzzy fault tree model	 To analyze coal mining gas explosions, 	Shi et al. (2018)
	To provide the basis for the precautions against and prevention of coal mining accidents	
Human factor analysis and classification system (HFACS) model	1. To identify and analyze the various degrees of workers' unsafe acts as root causes of mine accidents	Aliabadi et al. (2018)
Human factor analysis and classification system for China's mines (HFACS-CM) and analytic hierarchy process (AHP) model	1. To analyze the factors of mine accident quantitatively and qualitatively	Liu et al. (2018a)
	To provide the basis for accident investigations	
Rapid Mass Simulation Software (RAMMS)	1. To 1. To study the geological structure or soil movement	Find et al. (2018)
Bow tie model	1. To perform safety assessments	Pons (2016)
	2. To examine the strategies of risk-management of the mine	Xu & Xu (2018)
Systems-Theoretic Accident Model and Processes (STAMP) with CAST (Causal Analysis Systems Theory)	1. To connect multiple causes of mining accidents	Düzgün & Leveson (2018
AMESim simulation analysis and the ABAQUS/Explicit simulation	1. To predict the occurrence of rock burst	Wang & Zhang (2019)
	2. To study the impact of rock burst	
FRAM, STAMP, and "2–4" model	2. To connect multiple causes of mining accidents	Qiao et al. (2019)
Confirmatory factor analysis (CFA) model	1. To test interconnection between the conceptual model and the survey data	Li et al. (2019)
Marta Carla mathad	2. To estimate the reliability scale	Terre et al. (0010)
Monte Carlo method	 To quantify the accident losses To establish a risk assessment model by assessing the risk of different working types. 	Tong et al. (2019)
	 3. To provide a basis for effective control of unsafe behavior in gas explosion accidents 	
24Model	1. To analyze causal factors of coal mine gas explosion accidents	Fu et al. (2019)
Deep Convolutional Neural Networks (DCNN) with Support Vector Machine (SVM)	1. To identify microseismic waveforms automatically for coal mines	Lin et al. (2019)

Summary of challenges of post-mining accidents.

Category	Challenges
Mine owners	The challenges: i. To improve supervision systems of mining companies (Chen et al., 2015) ii. To participate in any mine accident investigation (Chen et al., 2015) iii. To participate in any mine accident investigation (Chen et al., 2015) iii. To ensure all safety flaws can be prevented and eliminated at regulatory land technical levels (Chen et al., 2015) iv. To prevent culture of corruption, which is a root cause of major coal mine accidents (Geng and Saleh, 2015) v. To ensure the management structure and policy are on the right track (Zhu et al., 2015) vi. To create a healthy relationship between managers and employees (Chen et al., 2016) vii. To change the attitude of coal miners from inactive to active mode, and to ensure unreported dangers can be minimized (Wang et al., 2016) viii. To enhance the risk management process and managerial integrity in handling underground coal mine accidents (Pons, 2016) ix. To ensure all staff and mine workers follow the safety culture in their normal daily practices at work (Düzgün & Leveson, 2018) xi. To increase safety commitment, ensure good communication with miners and colleagues (Li et al. (2019)
Mine enterprise	 xi. To increase safety commitment, ensure good communication with inners and coneagues (Li et al. (2019) xii. To provide sufficient safety equipment and improve safety behavior of miners and mine owners (Li et al. (2019) xiii. To provide a good working procedures and training programs to reduce the possibility of workplace accidents (Aliabadi et al., 2019) xiv. To ensure staff prevent any possibility of harmful or dangerous incidents, or exposure to heavy metals or toxic chemicals (Lyra, 2019) i. Prioritize safety in mine operations (Geng and Saleh, 2015) ii. Improve internal security to reduce coal mine accidents (Zhu et al., 2015)
	 iii. Improve internal security to reduce coar initia accidents (zhi et al., 2015) iii. Promote the healthy development of productivity (Zhu et al., 2015) iv. Establish a safety culture to ensure each worker is involved in safety actions (Wang et al., 2018; Xu & Xu, 2018) v. Increase safety investments, such as provision of staff training (Wang et al., 2018) vi. Remove communication barriers. More information on safety can be disseminated through emails, memos, forums, work reports, and public speaking corners (Wang et al., 2018)
	 vii. Strengthen safety education, such as training in survival skills on mine sites, especially underground coal mines (Wang et al., 2018) viii. Have a good operating plan to ensure safety awareness is applicable throughout all mining operations (Wang et al., 2018) ix. Enhance safety awareness among employees and top management (Wang et al., 2018) x. Establish the correct safety attitude by educating miners and workers (Sanmiquel et al., 2015; Wang et al., 2018) xi. Upgrade knowledge and skills related to safety in mine operations. Awards and appreciation can be given to excellent miners to motivate them in the safety context (Wang et al., 2018)
Government	 i. To analyze the large database consisting of 70,000 occupational fatality and accident reports in the Spanish mining sector from 2003 to 2012 ii. To develop prevention policies to reduce the number of fatalities and injuries in the mining sector (Sanmiquel et al., 2015) iii. To overcome weak safety management and low value placed on safety issues among miners in the coal mine industry (Geng and Saleh, 2015; Wang et al., 2016b; Wang et al., 2016a)
Stakeholder engagement Policy-makers	 i. To improve current polices, rules and regulations (Lyra, 2019 ii. To help mining owners deal with post-mining accidents in the Marikana platinum mining industry (Engelbrecht and Thomas, 2017) ii. To construct policies related to the mining industry to ensure mining operations run smoothly (Düzgün & Leveson, 2018; Lyra 2019) ii. To establish clear policy, guidelines, and regulations for the coal mine industry in China (Liu et al., 2015) iii. To ensure safety is the top priority, since China is the global leader in coal mine production and coal consumption (Liu et al., 2015) iv. Policy-makers should pay attention to poor communities, countries with a weak natural resource governance, and communities with political dependence on the extractive industry Lyra (2019)
Community/ Villagers	 i. The community is required to protect their rights, especially those of the victims and their families (Lyra, 2019) ii. Make communities and activists more aware of the consequences of the extractive industry on environmental, social and political issues. (Lyra, 2019)

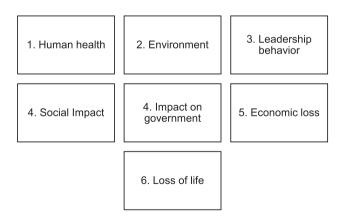


Fig. 4. Impacts of post-mining accidents, based on the SLR study.

arose from accidents in artisanal gold mining activities raised social concerns. This type of pollution can destroy ecosystems as well as damage the environment (Clarke, 2015). Furthermore, a mine tailing spill in Aznalcollar occurred in 1998, which was the largest environmental pollution accident recorded in Spanish history (Gil-Jiménez et al., 2017). It released 4 million m³ of acidic water and 2 million m³ of toxic mud containing high amounts of heavy metals and metalloids.

Table 8

Four different categories of coal mine accidents.

Category	Categories of accidents					
	Extraordinarily serious accidents	Major accidents	Larger accidents	General accidents.		
Life loss	Death: >30 people Injury: >100 people	Death:10–30 people Injury: >100 people	Death: 3–10 people Injury: 10–50 people	Death: <3 people Injury: <10 people		
Economic loss	>100 million yuan	50–100 million yuan	51–50 million yuan	<10 million yuan		

Meanwhile, in Brazil, the collapse of the SAMARCO Fundão dam in Mariana, Minas Gerais, Brazil on November 5th, 2015 released more than 50 million cubic meters of ore tailings into the environment, representing the world's largest mining disaster. It caused 457.6 ha of riverside forest loss due to the flooding of the ore tailings. A total area of 1176.6 ha was affected by this accident (Dam et al., 2018). Furthermore, Francini-Filho et al. (2019) also reported on the effect of the Fundão dam collapse. A huge volume of mud spread along the river and reached the sea 17 days after the disaster, and destroyed the marine biodiversity along the river. Morisson et al. (2019) and Cordeiro et al. (2019) studied

Table 9 (continued)

Economic loss	Type of mining accident	Date/ duration	Economic loss (in yuan or USD)	References
	Geological disaster - ground collapse in China	1950 s to 2017	4,04.1571 million yuan;	Zhu et al. (2018), Shao (2019)
	Coal mine accident in Xinyao, Shanxi	December 5, 2007	42.75 million yuan	Geng and Saleh (2015); Fu et al. (2019)
	Samarco dam collapsed in Brazil	November 5, 2015	~US\$47.7 billion	Grande and Science (2017); Xiao et al. (2019)
Life loss/	Type of mining	Date/	Number of	References
injuries	accident Geological disaster - ground collapse in China	duration 1950s to 2017,	deaths 446 deaths	Zhu et al. (2018); Shao (2019)
	Methane explosion in underground coal mine in China	2000–2012	440 deaths and 451 injuries.	Shi et al. (2017)
	Coal mine accident in Muchonggou, Guizhou in China	September 26, 2000	159 death	Geng and Saleh (2015)
	The regional distribution of major coal mine accidents in China	2000 to 2016	7612 deaths in total (Based on 363 major accidents)	Liu et al. (2018)
	Mine accident in coal mine, Shandong Province	(2005–2010)	512 death	Zhang et al. (2016)
	Coal mine accident in Jixi, Heilongjiang, China	June 20, 2002	124 death	Geng and Saleh (2015)
	Coal mine accident in Daping, Henan, China	October 20, 2004	148 death	Geng and Saleh (2015)
	Coal mine accident in Chenjiashan, Shanxi China	November 28, 2004	166 death	Geng and Saleh (2015)
	Coal mine accident in Sunjiawan, Shanxi, China	February 14, 2005	214 death	Geng and Saleh (2015)
	Coal mine accident in Daxing, Guangdong, China	August 7, 2005	121 death	Geng and Saleh (2015)
	Coal mine accident in Dongfeng, Heilongjiang	November 27, 2005	171 death	Geng and Saleh (2015)
	Coal mine accident in Huayuan, Shandou, China	August 17, 2007	172 death	Geng and Saleh (2015)
	Coal mine accident in Xinyao, Shanxi	December 5, 2007	105 death and 18 persons were injured 108 death	Geng and Saleh (2015); Fu et al. (2019)

Economic loss	Type of mining accident	Date/ duration	Economic loss (in yuan or USD)	References
	Coal mine accident in Liuguantun, Hebei	December 7, 2005		Geng and Saleh (2015)
	Coal mine accident in Xinxing, Heilongjiang	November 21, 2009	104 death	Geng and Saleh (2015)
	Coal mines accidents in Shandong, China,	2010 to 2014	58 death	Zhu et al. (2018)
	Coal mine roof accident in Chongqing Province, China	December 18th, 2010,	2 deaths	Xiao et al. (2019)
	Methane gas explosion in coal mine in Guizhou Province, China	December 25, 2010	5 deaths	Xiao et al. (2019)
	Flooding accident occurred in Dashunfa coal mine, China	December 13th, 2010	2 deaths	Xiao et al. (2019)
	Soma Mine accident/coal in Turkey	May 13, 2014	301 fatalities and more than 80 injuries	Spada et al. (2016)
	Samarco dam collapsed in Brazil	November 5, 2015	20 death	Grande and Science (2017); Xiao et al. (2019)
	Explosion killed 28 miners in a Spanish coal mine, Spain	NA	28 deaths	Sanmiquel- Pera et al. (2019)

the iron mining tailing deposits that spilled out from the failed Fundão dam.

Moreover, a serious social impact occurred when the large mine tailing dam owned by the Samarco Corporation collapsed in Brazil, generating a massive wave of toxic mud that spread down the Doce River, killing 20 people and affecting the biodiversity across hundreds of kilometers of river, riparian lands, and the Atlantic coast. The disaster had serious human and socioeconomic tolls. Unfortunately, there are no clear environmental bond policies in Brazil, which could have severe social and environmental consequences, underscoring the need for effective disaster-management strategies for large-scale mining operations (Grande & Science, 2017). News coverage of mining accidents or mining disasters has a significant influence on society. Research was conducted by Zhu et al. (2018) on 222 coal mining disasters in China between 2004 and 2012. They found several impacts of mining disaster news items on society, such as:

- i. The creation of panic among the public; mine disaster news always carries threats to the social value system
- ii. News involving mine accidents will overshadow other daily news items
- iii. The repetition of news coverage of mining accident issues tends to demotivate the public to listen to and read the news
- iv. Over-excessive media coverage on the severest disasters, compared to those that are less severe, may lead to bias among the public

Furthermore, Lyra (2019) studied the impact of the collapsed Fundão tailings dam on Brazilian society. The main conclusion was that society and activists were more aware of the consequences of mining accidents on the environment, social life and politics (including regulators and the authorities). The impact of this accident was clear, especially to the government, because there are no clear environmental bond policies in Brazil (Grande and Science, 2017). In addition, the issues caused by the Fundão dam disaster in Brazil gave the government a negative reputation. When the accident occurred, there was a failure to protect the local community, while the negative impact was that the victims' families lost belief in the Brazilian government's capacity to manage this accident (Lyra, 2019). According to Geng and Saleh (2015), coal mine accidents in China have opened new avenues for the government to encourage the coal mining industry to self-regulate mining operations, since the industry had successfully informed the government that it had the maturity to deal competently with its own risks. Furthermore, economic loss and loss of life were also major impacts of mining disasters or accidents. According to Xiao et al. (2019), there are four different categories of coal mine accidents, as shown in Table 8. Meanwhile, Table 9 shows the SLR results involving the economic loss and lives lost due to post-mining accidents in China, Brazil, Turkey, Spain and South Africa.

5. Future directions and recommendations

This study has bridged the gaps in understanding of research trends in mining accident issues. Domains and variables derived from the findings of this study could contribute new knowledge for future scholarly work. This type of review could overcome the crucial issue of the global lack of studies by replicating the same process, specifically in other mining accident contexts, and other topic areas generally. This systematic review study would ideally assist mine owners in providing a better understanding of, and emphasis on, the issues related to mine accidents. The study is also addressed to relevant miners, governments and policymakers in reducing mining accidents in the future. This review offers several recommendations for future studies. Firstly, utilizing various databases could widen the search of relevant articles and support the research objectives. The possible databases include the Web of Science, Emerald, and many more. Secondly, there is a need to conduct more in-depth qualitative studies on the main causes, prevention, challenges and impacts of post-mining accidents. Particular focus should be on other countries, such as ASEAN members like Malaysia, Indonesia, Thailand, and Vietnam, because the mining industry is an economic contributor to each of these countries. Finally, it is suggested that research is conducted on the roles of government and policy in reducing the number of mining disasters.

6. Conclusion

The SLR examined research trends in mining accidents issues between 2015 and 2019 and successfully developed four main themes. These consist of the main causes of accidents (46%), and the prevention (20%), challenges (17%) and impacts of post-mining accidents (17%). The study produced thirty-five subthemes by systematically reviewing 57 selected articles from the ScienceDirect and Scopus databases using the PRISMA approach. The SLR concluded that the main cause of mining accidents was mechanical failure, while the most promising method of preventing this issue is utilizing software or safety models to predict the potential hazards or risks of accidents. Mine owners are presented with major challenges and responsibilities to provide a safe working environment for all mine workers, thus minimizing the potential for mining accidents. Moreover, the impact of post-mining accidents leads to adverse environmental impacts.

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The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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