

INDIRECT MONITORING OF SURFACE  
QUALITY BASED ON THE INTEGRATION OF  
SUPPORT VECTOR MACHINE AND 3D I-KAZ  
TECHNIQUES IN THE MACHINING PROCESS

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## SUPERVISOR'S DECLARATION

I hereby declare that I have checked this thesis, and in my opinion, this thesis is adequate in terms of scope and quality for the award of the degree of Doctor of Philosophy.

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## STUDENT'S DECLARATION

I hereby declare that the work in this thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at Universiti Malaysia Pahang or any other institutions.

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## ABSTRAK

Kualiti proses pemesinan yang lebih baik boleh menyumbang kepada pembuatan mampan dari segi kelestarian ekonomi, alam sekitar, dan sosial. Pengurangan pembaziran, peningkatan kecekapan, dan kualiti produk yang lebih baik juga dapat membantu pengeluar mengurangkan kos dan meningkatkan kadar produktiviti. Pemesinan adalah salah satu kaedah yang biasa digunakan dalam industri dan memainkan peranan utama dalam pembuatan moden. Selama bertahun-tahun, penyelidik telah mengkaji kaedah pemantauan untuk menghasilkan kualiti permukaan terbaik. Pengukuran melibatkan tiga teknik yang berbeza, yang dikategorikan kepada kaedah kuantitatif dan visualisasi. Kaedah pemantauan umumnya boleh diklasifikasikan kepada langsung atau tidak langsung. Kaedah umum yang digunakan untuk mengukur kualiti pemesinan mengalami cerutan pembuatan kerana ia dikekang oleh pemeriksaan manusia dan peralatan mahal. Kaedah umum untuk mengukur kualiti pemesinan adalah tidak praktikal kerana memerlukan pemeriksaan manusia dan peralatan yang mahal. Proses yang perlahan menyebabkan kepada kos buruh yang lebih tinggi dan risiko kerosakan peralatan yang tinggi pada bahan kerja. Kajian ini bertujuan untuk mengisi kesenjangan ini dengan memanfaatkan keupayaan model 3D I-kaz dan Gaussian SVM sederhana (MG-SVM) untuk meningkatkan ketepatan dan kadar klasifikasi dalam menentukan kualiti permukaan. Objektif khusus adalah untuk menganalisis kesan parameter pemesinan pada analisis statistik, mengklasifikasikan isyarat pecutan untuk pengenalan kasar permukaan menggunakan SVM, dan mengintegrasikan SVM dengan 3D I-kaz untuk meningkatkan pengenalan kualiti permukaan dan mengesahkan keberkesannya melalui eksperimen. Pengukuran pemrosesan isyarat untuk bahan besi tahan lentur, FCD450 pada parameter pemotongan: kelajuan putaran dengan 1000–3026 rev/mm, kadar pengumpanan 120–720 mm/min, aksial 0.75–3.5 mm, dan kedalaman pemotongan jejari (RDOC) telah dikaji dan disahkan melalui eksperimen dalam keadaan kering dan pelinciran kuantiti minimum (MQL). Kekasaran permukaan diukur untuk mengesahkan isyarat pecutan. Pekali Korelasi Pearson (PCC) digunakan untuk menilai kekuatan korelasi antara isyarat pecutan dan kekasaran permukaan. Pembezaan Pearson, nilai  $r$  didapati menjadi 0.6543, yang menunjukkan korelasi positif tetapi bukan linear antara isyarat pecutan dan kekasaran permukaan. Nilai kurtosis yang diukur daripada maklumat isyarat pecutan dan kekasaran permukaan kemudian digunakan untuk mengklasifikasikan keadaan pemesinan dan pengenalpastian kualiti permukaan. Dalam eksperimen pertama, model menunjukkan ketepatan 84.87% dan nilai F1 84.57%. Didapati bahawa pelarasan hyperparameter boleh meningkatkan ketepatan model menjadi 85.53% dan skor F1-nya menjadi 84.93%. Sebelum pengelasan keadaan permukaan mesin, keadaan tersebut dikenal pasti melalui teknik *support vector machine* (SVM), dan ia menunjukkan bahawa keadaan itu boleh dibahagikan kepada lima tahap kualiti permukaan yang berbeza. Daripada data ujian eksperimen, penunjuk pecutan dan Ra diiktiraf untuk analisis korelasi. Satu hubungan dibangunkan yang membolehkan ramalan atau pengenalpastian kualiti permukaan secara langsung berdasarkan penunjuk terpilih (pepejal 3D I-kaz) tanpa perlu menginspeksi proses kisar untuk kasar permukaan. Telah ditunjukkan bahawa integrasi model 3D I-kaz dan SVM mampu menghasilkan ketepatan dan skor F1 masing-masing sebanyak 96.0% dan 96.3%, menunjukkan bahawa data kuantifikasi adalah berdaya maju untuk pengenalpastian kualiti permukaan. Eksperimen pemantauan digunakan untuk mengesahkan pengenalpastian kualiti permukaan melalui tahap kasar permukaan segera yang diperolehi daripada eksperimen. Kesimpulannya, pemantauan tidak langsung kualiti permukaan menggunakan isyarat getaran dapat mengenal pasti

kualiti permukaan dengan cepat menggunakan analisis SVM dan 3D I-kaz, justeru, mengurangkan masa dan kos yang berkaitan dengan pemeriksaan manual yang membolehkan ia digunakan dalam pelbagai proses pengisaran lain.

## ABSTRACT

Improved machining process quality can contribute to sustainable manufacturing in terms of economic, environmental, and social sustainability. Reducing waste, increasing efficiency, and improving product quality can also help manufacturers to reduce costs and increase productivity rate. Machining is one of the common methods in industry and plays a central role in modern manufacturing. For many years, researchers have been studying monitoring methods to produce the best surface quality. The measurement involves three distinct techniques, which are categorised into quantitative and visualisation methods. Monitoring methods can be classified as either direct or indirect methods. The common method of measuring machining quality undergoes manufacturing bottlenecks, as it is constrained by human inspection and expensive equipment. A slow process leads to higher labour costs and a high risk of equipment damage to the workpiece. The present study aims to bridge this gap by leveraging the capabilities of 3D I-kaz and medium Gaussian SVM models to improve accuracy and classification rates for determining surface quality. The specific objectives are to analyse the impact of machining parameters on statistical analysis, classify acceleration signals for surface roughness identification using SVM, integrate SVM with 3D I-kaz to improve surface quality identification and validate its effectiveness through experiments. The quantification of signal processing for ductile iron, FCD450 material on cutting parameters: rotation speed with 1000–3026 rev/mm, feed rate of 120–720 mm/min, axial of 0.75–3.5 mm, and radial depth of cut (RDOC) is studied and validated through experiments under dry and minimum quantity lubrication (MQL) conditions. Surface roughness was measured to verify the acceleration signal, while Pearson's correlation coefficient was used to evaluate the correlation strength between the acceleration signal and surface roughness. The calculated coefficient,  $r$ -value, was found to be 0.6543, which indicates a positive but nonlinear correlation between the acceleration signal and surface roughness. The kurtosis value measured from acceleration signals and surface roughness information was then used to classify the machining condition and identification of the surface quality. In the first experiment, the model displayed an accuracy of 84.87% and 84.57% in terms of F1 values. It was observed that by adjusting the hyperparameter, the model's accuracy was augmented to 85.53% and its F1 score was enhanced to 84.93%. Additionally, the model was applied in the second experiment, resulting in an accuracy of 84.0%. Before the classification of machined surface condition, the condition is identified through the support vector machine (SVM) technique, and it was demonstrated that the condition could be demarcated into five different levels of surface quality. From the experimental test data, acceleration and average roughness ( $R_a$ )-based indicators are identified for correlation analysis. A relation is developed, which enables the prediction or identification of surface quality directly based on the selected based indicators (3D I-kaz coefficient) without having to inspect the milling process for surface roughness. It was demonstrated that the integration of the 3D I-kaz and SVM model resulted in an accuracy and F1 score of 96.0% and 96.3% respectively, suggesting that the quantification data is viable for surface quality identification. A monitoring experiment was conducted in this study to validate the identification of surface quality through the instantaneous surface roughness level obtained from the experiment. In conclusion, indirect monitoring of surface quality using vibration signals can quickly identify the surface quality using SVM and 3D I-kaz analyses, thus reducing the time and cost associated with manual inspection and allowing for its use in many other machining processes.



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