HAZARD ANALYSIS FOR THE REQUIREMENTS SPECIFICATION OF SAFETY-CRITICAL SYSTEMS USING THE COMBINATION OF FHA AND FTA TECHNIQUES

KIRIYADHATSHINI A/P GUNARATNAM

MASTER OF SCIENCE

UNIVERSITI MALAYSIA PAHANG

SUPERVISOR'S DECLARATION

I hereby declare that I have checked this thesis, and in my opinion, this thesis is adequate in terms of scope and quality for the award of the degree of Master of Science.

(Supervisor's Signature)

Full Name : TS. AZMA BINTI ABDULLAH

Position : LECTURER

Date : 30/8/2023

STUDENT'S DECLARATION

I hereby declare that the work in this thesis is based on my original work except for quotations and citations which have been duly acknowledged. I also declare that it has not been previously or concurrently submitted for any other degree at Universiti Malaysia Pahang or any other institutions.

(Student's Signature)

Full Name : KIRIYADHATSHINI A/P GUNARATNAM

ID Number : MCS19001

Date : 30/8/2023

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KIRIYADHATHINI A/P GUNARATNAM

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ABSTRAK

Analisis Bahaya (HA) adalah proses penting untuk mengenal pasti dan mengurangkan risiko yang berkaitan dengan pembangunan sistem. Walau bagaimanapun, teknik HA semasa mempunyai beberapa kekurangan, termasuk kurangnya pengenalpastian bahaya awal dan dokumen bahaya yang tidak mencukupi, yang boleh menyebabkan keruntuhan sistem. Oleh itu, penyelidikan ini bertujuan untuk meningkatkan teknik HA dengan menangani kekurangan ini dengan menjalankan HA pada peringkat keperluan dan menghasilkan log bahaya yang lebih komprehensif. Untuk mencapai tujuan ini, metodologi penyelidikan yang terdiri daripada tiga fasa telah direka. Fasa 1 melibatkan analisis teknik HA sedia ada dan mengenalpasti kesenjangan dalam analisis bahaya. Fasa 2 melibatkan pembangunan teknik analisis bahaya gabungan yang menangani kekurangan utama ini dengan menggabungkan teknik analisis bahaya fungsional (FHA) dan analisis pepohonan kegagalan (FTA). Teknik yang dicadangkan dimaksudkan untuk digunakan semasa peringkat keperluan pembangunan sistem untuk menghasilkan log bahaya yang lebih komprehensif. Dalam Fasa 3, teknik yang dicadangkan dinilai melalui kajian kes model pam analgesia pesakit generik yang dikawal. Prestasi teknik yang dicadangkan dinilai menggunakan ukuran F1-score, ketepatan, dan ketepatan yang tepat. Empat kaedah penilaian digunakan untuk membandingkan hasil FHA tunggal, FTA tunggal, menggunakan FHA dan FTA, dan menggabungkan teknik FHA dan FTA. Hasil menunjukkan bahawa teknik FHA dan FTA yang digabungkan mencapai nilai prestasi tertinggi 0.96 untuk ketepatan dan 0.98 untuk ketepatan, pengingatan, dan ukuran F1-score. Ini menyimpulkan bahawa walaupun secara individu FHA menghasilkan data keluaran yang besar sementara FTA bukan teknik awal tetapi kedua-duanya melengkapkan satu sama lain untuk mencapai tujuan menjalankan HA pada peringkat keperluan dan menghasilkan log bahaya yang minimal dan komprehensif. Berdasarkan hasil ini, teknik FHA dan FTA yang digabungkan disarankan untuk dilaksanakan semasa peringkat keperluan pembangunan sistem untuk mengenal pasti bahaya dan menghasilkan log bahaya yang komprehensif. Arahan masa depan untuk penyelidikan boleh merangkumi mengautomatiskan teknik untuk mengenal pasti bahaya dengan menganalisis fungsi sistem menggunakan faktor kausal dalam bentuk pemboleh ubah.

ABSTRACT

Hazard Analysis (HA) is a crucial process for identifying and mitigating risks associated with systems development. However, current HA techniques suffer from several limitations, including a lack of preliminary hazard identification and inadequate hazard documentation, which can lead to system breakdowns. Therefore, this research aims to enhance HA techniques by addressing these limitations by conducting HA in requirement specification and producing a more comprehensive hazard log. To achieve this aim, a research methodology consisting of three phases was designed. Phase 1 involved analyzing existing HA techniques and identifying gaps in hazard analysis. Phase 2 involved developing a combined hazard analysis technique that addresses these key limitations by integrating functional hazard analysis (FHA) and fault tree analysis (FTA) techniques. The proposed technique is intended for use during the requirement specification of system development to produce a comprehensive hazard log. In Phase 3, the proposed technique was evaluated through a case study of a generic patient-controlled analgesia pump model. The performance of the proposed technique was evaluated using the F1-score measure, precision, and accuracy. Four evaluation methods were used to compare the results of single FHA, single FTA, using both FHA and FTA, and combining FHA and FTA techniques. The results showed that the combined FHA and FTA technique achieved the highest performance value of 0.96 for accuracy and 0.98 for precision, recall, and F1-score measure. This concludes that though individually FHA produces a large output data while FTA is not a preliminary technique yet both of them complements each other to achieve the aim of conducting HA in requirement specification and produce a minimalized and comprehensive hazard log. Based on these findings, the combined FHA and FTA technique is recommended for implementation during the requirement specification of systems development to identify hazards and produce a comprehensive hazard log. Future directions for research could include automating the technique to identify hazards by analyzing system functions using the causal factors in terms of variables.

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