



Experimental and DFT calculations for C/ZnO@S cathode and prelithiation Si anode for advanced sulfur-based batteries

Maryam Sadat Kiai^{1,2} · Navid Aslfattahi³ · Mubashir Mansoor⁴ · Deniz Karatas⁵ · Nilgun Baydogan⁶ · Lingenthiran Samyilingam⁷ · Kumaran Kadirgama^{8,9,10} · Chee Kuang Kok⁷

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Abstract

The advancement of modified electrodes for the next generation of sulfur-based batteries has become a prominent focus of research. This study introduces a detailed DFT calculations for the cell with carbon-doped ZnO/S as a potential cathode material through urea-assisted thermal decomposition of zinc acetate. Ultralong cycling stability is achieved after 500 cycles at 2 C for C-doped ZnO, resulting in an impressive reversibility of 981 mAh g⁻¹, with a capacity retention of 86.2% and minimal capacity degradation of just 0.023% per cycle. The carbon-doped ZnO/Li₂S model has a higher electrical conductivity compared to the Li₂S/ZnO model. The DFT result proved the strong interaction of silicon with both carbon and oxygen; subsequently, the interaction in ZnO models containing SiS₂ was much higher, especially in the model containing carbon, which is in good agreement with our experiments.

Keywords Batteries · ZnO · Carbon doping · Cycle stability · DFT · Catalytic properties

Introduction

In recent years, lithium-sulfur (Li-S) batteries have emerged as a promising alternative for next-generation energy storage solutions, owing to their significant advantages, such as a high theoretical capacity of 1675 mAh g⁻¹, along with cost-effectiveness and environmental sustainability. However, the

implementation of Li-S batteries encounters several technical challenges, including the poor electrical conductivity of sulfur and the discharge product lithium sulfide (Li₂S), and pronounced shuttling behavior caused by the dissolution of lithium polysulfides (LiPSs) [1–4]. These technical hurdles lead to reduced sulfur utilization, slow reaction kinetics, rapid capacity degradation, and severe corrosion

✉ Navid Aslfattahi
navid.fth87@yahoo.com; navid.aslfattahi@fs.cvut.cz

✉ Kumaran Kadirgama
kumaran@ump.edu.my

¹ Center for BioNano Interactions, School of Chemistry, University College of Dublin, Belfield, Dublin 4, Ireland

² Nano-Science and Nano-Engineering Program, Graduate School of Science, Engineering and Technology Istanbul Technical University Istanbul, Istanbul 34469, Turkey

³ Department of Fluid Dynamics and Thermodynamics, Faculty of Mechanical Engineering, Czech Technical University in Prague, Prague 166 07, Czech Republic

⁴ Metallurgical and Materials 813 Engineering Department and Department of Applied Physics, 814 Istanbul Technical University, 34469 Istanbul, Turkey

⁵ Bioengineering Department, Manisa Celal Bayar University, Yunusemre, Manisa 45140, Turkey

⁶ Energy Institute, Ayazaga Campus Istanbul Technical University Maslak, Istanbul, Turkey

⁷ Center for Advanced Mechanical and Green Technology, Center of Excellence for Robotics & Sensing Technologies, Faculty of Engineering and Technology, Multimedia University, Jalan Ayer Keroh Lama, 75450 Bukit Beruang, Melaka, Malaysia

⁸ Faculty of Mechanical & Automotive Engineering Technology, University Malaysia Pahang Al-Sultan Abdullah, 26600 Pekan, Pahang, Malaysia

⁹ Centre for Research in Advanced Fluid and Processes, University Malaysia Pahang Al-Sultan Abdullah, 26600 Pekan, Pahang, Malaysia

¹⁰ Centre for Sustainable Materials & Surface Metamorphosis (CSMSM), Chennai Institute of Technology, Chennai, India

of the anode in Li–S batteries. The employment of lithium metal as an anode material presents significant challenges, primarily due to its high reactivity with electrolyte solvents and salts, as well as its propensity to form dendrites. These factors contribute to a reduced operational lifespan and pose considerable safety risks, highlighting the need for the exploration of alternative anode materials for practical use [5–12]. Recently, silicon (Si) alloy-type anodes have garnered significant interest owing to their cost-effectiveness and abundant availability in the earth's crust. The integration of Si with sulfur in a full cell configuration may provide a promising avenue for the advancement of next-generation high-energy storage systems. [13–19] For silicon anodes, it is essential to address volume fluctuations and to create a stable solid electrolyte interphase (SEI).

Additionally, carbon-based nanostructured materials have been extensively investigated for their potential in the cathode to mitigate lithium polysulfides (LiPSs) due to their advantageous properties, including excellent electrical conductivity, large surface area, and porous structures. However, these materials exhibit limited capacity for LiPS absorption, leading to inadequate cycling stability attributed to the persistent shuttle effect [20–23]. Recently, significant advancements have been made with polar materials that serve as effective adsorbents for LiPSs, including TiO_2 , ZnO, CoS, MoS_2 , and Co_3S_4 . Despite these developments, the metal oxide components mentioned often possess low electrical conductivity, which restricts their catalytic efficiency towards LiPSs and ultimately impedes electron and ion transport, resulting in suboptimal rate performance [24–28].

In this study, we present a DFT study for carbon-doped (C) ZnO/S as a promising cathode material to facilitate redox reactions and improve cycling performance for pre-lithiation Si/S batteries. The DFT results proved the role of C-doped ZnO for polysulfide trapping as a cathode for pre-lithiation silicon-sulfur batteries.

In this study, SiS_2 and Li_2S binding energy on carbon-doped ZnO and pristine ZnO surfaces was investigated with DFT using the TURBOMOLE 7.8.1 program. All DFT calculations were performed with the PBE/def2-TZVP basis set. Single-point energy and adsorption energies of the optimized structures were obtained as a result of geometry optimization.

Experimental section

Synthesis of carbon-doped ZnO/S

Carbon-doped ZnO was prepared by thoroughly grinding 15 g of sulfur (S), 4 g of zinc acetate dihydrate ($\text{Zn}(\text{Ac})_2 \cdot 2\text{H}_2\text{O}$), and 1 g of urea in a mortar for a duration of 30 min. Subsequently, the resulting powders were subjected

to annealing in an alumina crucible at a temperature of 500 °C, with a heating rate of 20 °C per minute, for a period of 3 h in an air atmosphere. Upon completion of the reaction, the powders were rinsed with distilled water and then dried in an oven at 70 °C for 12 h. The final product with 10% C doped ZnO was designated as C/ZnO@S [29].

New active sites were formed with the carbon doping, and -CS, -SiC bonds were formed.

Synthesis of Si@GNP anode

Si/GNP nanostructure was obtained by dispersing Si NPs into an aqueous solution of GNP, followed by a hydrothermal process. Typically, 7.5 g GNP were dissolved in 100 mL deionized water to form a homogeneous solution. After adding 0.1 g Si NPs into the above solution, the mixture was stirred for 1 h. The mixture was then transferred into a 100-mL Teflon-lined autoclave and kept at 150 °C for 12 h, subsequently followed by vacuum filtration, washing with DI water. Before utilization, the Si@GNP electrode underwent a chemical pre-lithiation process through surface treatment conducted within an argon-filled glovebox. [29] The electrode disk was then placed in contact with a lithium foil that had been moistened with a 1 M solution of LiPF_6 in a mixture of ethylene carbonate and dimethyl carbonate (EC/DMC; 1:1 w/w) and subjected to a pressure of 1 bar for a duration of 2 h. Subsequently, the electrode was rinsed with DOL and prepared for use.

Cell configuration

Coin-type 2032 Li–S cells were constructed within an argon-filled glovebox. In this study, a 1 M solution of bis(trifluoromethane)sulfonamide lithium (LiTFSI) combined with 0.5 M lithium nitrate (LiNO_3) was evaluated as an effective electrolyte for the entrapment of polysulfides. The solvent system utilized was a 1:1 mixture of 1,3-dioxolane (DOL) and 1,2-dimethoxyethane (DME). The electrolyte volume in various coin cells was standardized at 20 μL per milligram of sulfur. Our cells contained C/ZnO@S cathode and Si@GNP anode.

Materials and electrochemical characterization

XRD diffraction analysis is carried out with Rigaku smart lab by using Cu K α radiation ($k = 1.541 \text{ \AA}$). Raman analysis was performed by Renishaw Raman Spectroscopy. Elemental compositions were analyzed using X-ray photoelectron spectroscopy (Hemispherical analyzer, K-Alpha XPS). Scanning electron microscopic (Gemini FESEM) and transmission electron microscopic (2100 F TEM) were investigated to understand the morphology of the samples. SEM with energy-dispersive X-ray spectroscopy (EDS) was carried out

to scan the distribution of elements. The specific surface area was assessed through nitrogen adsorption and desorption measurements using the Brunauer–Emmett–Teller (BET) multipoint method.

Computational section (DFT)

All DFT calculations were performed with the PBE/def2-TZVP basis set. The binding energy per Li/Si atom (E_{ad}) is calculated using Eq. 1.

$$E_{ad} = \frac{E_{\text{bonded}} - E_{\text{pristine}} - 2\mu_{\text{Li/Si}}}{2} \quad (1)$$

E_{bonded} is the total energy of the Li/Si bonded structure, and E_{pristine} is the total energy of the pristine structure without the two Li/Si atoms. $\mu_{\text{Li/Si}}$ is the Li/Si chemical potential. [30–32]

The binding energy of the SiS_2 molecule to the ZnO surface was quite strong, and its energy was calculated as -5.88 eV/Si. The most important first bond formation was included $-\text{SiO}$ interaction. The bond lengths here are 1.69 and 1.70 Å (Si–S). The other intermolecular bond formation was between Zn–S, whose distances were calculated as 2.2 and 2.11 Å. With the increase in the surface area with the carbon doping, the adsorption amounts also increased, and its energy was calculated as -9.01 eV/Si. Similar to the pristine model, one Zn–S bond formation was observed in this model, and the distance was calculated as 2.25 Å. In addition, new active sites were formed with the carbon doping, and $-\text{CS}$, $-\text{SiC}$ bonds were formed. Their distances were calculated as 1.98 and 1.88 Å, respectively, which are comparable with other DFT calculated values [33, 34] and is shorter than the bulk wurtzite ZnO bond length of 2.01

Å. This decrease in the bond length in comparison to the bulk wurtzite structure is due to the changes in hybridization from sp^3 to sp^2 in the monolayer structure. These calculated structural parameters agree with previous experimental and theoretical values [35–37].

Since carbon doping causes surface changes in the molecular structure, it may have also changed the optical, electrical, and catalytic properties of ZnO. In order to understand this, the HOMO–LUMO gaps of the optimized models in Fig. 1 were calculated as +1.52 and +1.67 eV with TURBOMOLE, respectively. A HOMO–LUMO gap value of +1.52 eV indicates that pure ZnO in interaction with SiS_2 exhibits moderate stability and can absorb light within a specific energy range. As can be understood from these relatively small values, it can be said that the molecules are reactive and electron transfer is easy and good conductors.

On the other hand, all DFT studies performed for the SiS_2/ZnO model were also performed for the $\text{Li}_2\text{S}/\text{ZnO}$ model. The final geometries resulting from the geometry optimization are displayed in Fig. 2.

The first important change that is noticeable in Fig. 2 is the strong interactions of lithium atoms with oxygen in both models. While their measurements were calculated as 1×1.82 and 2×1.87 Å in the pristine ZnO model, these values were calculated as 2×1.79 and 1.82 Å in the carbon-filled model. Apart from this, another important interaction is the strong Zn–S bonds seen in the SiS_2/ZnO models, which also appeared in this model, and their measurements were found as 2.31 Å in the pristine model and 2.42 and 2.56 Å in the other model. All these changes significantly affected the binding energy values, and the interaction energy value calculated as -2.52 eV/Li in the pristine model increased in the presence of carbon and was recorded as -4.68 eV/Li. As a result of the strong interaction of silicon with both carbon

Fig. 1 Optimized pristine (left) and C-doped (right) ZnO structures. Purple, red, yellow, gray, and black colors represent zinc (Zn), oxygen (O), sulfur (S), silicon (Si), and carbon (C), respectively

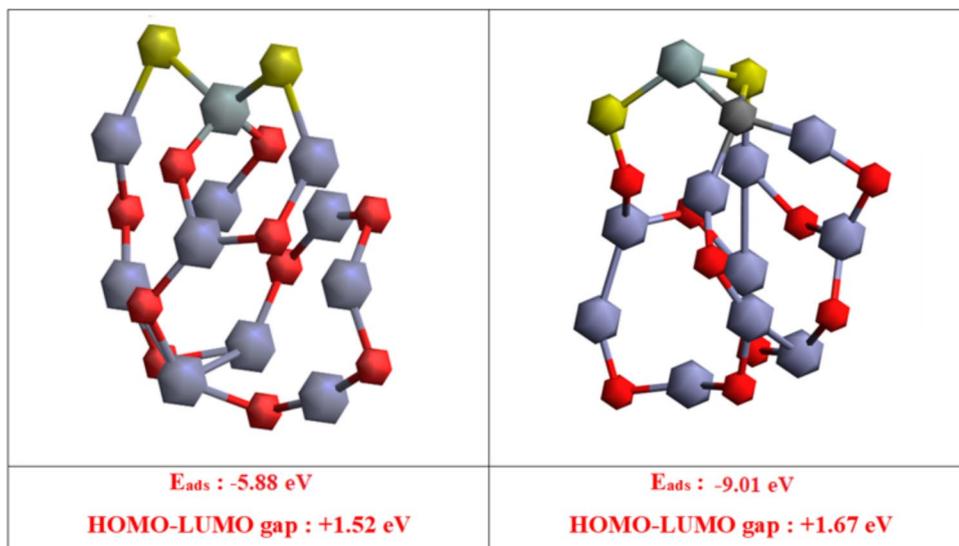
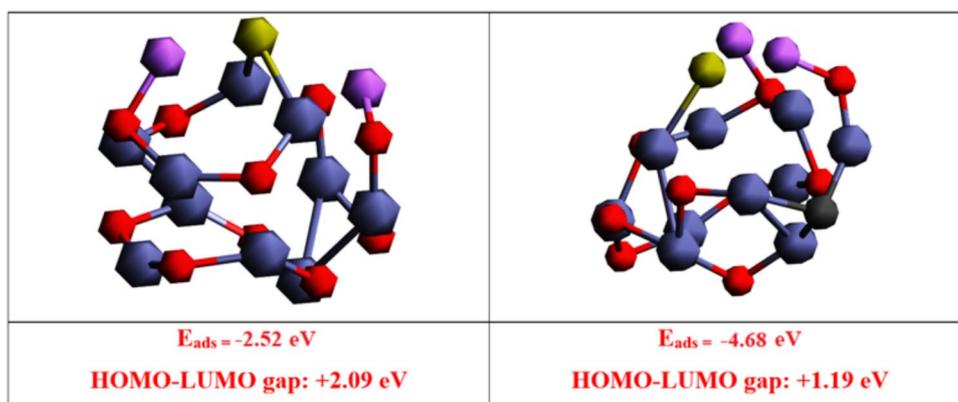


Fig. 2 Optimized pristine (left) and C-doped (right) ZnO structures. Purple, pink, red, yellow, and gray colors represent zinc (Zn), lithium (Li), oxygen (O), carbon (C), and silicon (Si), respectively



and oxygen, the interaction in ZnO models containing SiS_2 was much higher, especially in the model containing carbon. On the other hand, the HOMO–LUMO gap value (+ 1.19 eV) of the carbon-doped ZnO/ LiS_2 model was lower than the $\text{Li}_2\text{S}/\text{ZnO}$ model (+ 2.09 eV). Carbon doping changed the electronic structure of the ZnO/ LiS_2 model, affecting the HOMO and LUMO levels and causing the HOMO–LUMO gap to decrease. This could provide better performance for the carbon-doped ZnO/ LiS_2 model in applications such as energy storage, photocatalysis, or electronic devices. As expected, the carbon-doped ZnO/ LiS_2 model has a higher electrical conductivity compared to the $\text{Li}_2\text{S}/\text{ZnO}$ model. The DFT result proved the strong interaction of silicon with both carbon and oxygen; subsequently, the interaction in ZnO models containing SiS_2 was much higher, especially in the model containing carbon, which is in good agreement with our experiments and proved the reason for the application of Si as an anode in combination with a carbon-doped (C) ZnO/S cathode.

Density of states (DOS) was initially investigated to extract relevant information through molecular dynamics simulations. Consequently, no magnetic properties are detectable in C-doped ZnO. The computed band gap for C

doped ZnO is 1.24 eV, which is less than the experimentally determined value of 3.37 eV [38–40] (Fig. 3a).

The inherent lithium mobility on the carbon doped ZnO/S is crucial for its practical use as a cathode material in pre-lithiation Si-S batteries. Therefore, we investigated the potential diffusion pathways and energy barriers for lithium on as illustrated in Fig. 3b.

The two pathways are $\text{H-T}_{\text{Zn}}\text{-H}$ and $\text{H-T}_{\text{C}}\text{-H}$, with associated energy barriers of 0.68 eV and 0.12 eV, respectively.

The lithium can diffuse more rapidly along the groove compared to the space between two adjacent grooves, and the strength of single-atom adsorption for utilizing a material is higher than the adsorption of multiple atoms on the surface, which contributes to the storage capacity. [41, 42]

Experimental section (materials' characterization and electrochemical analysis)

The EIS spectra of the C doped ZnO cell exhibit the lower charge transfer resistance in comparison to the pristine ZnO, suggesting an enhanced kinetic redox reaction for the conversion of polysulfides facilitated by the C-doped ZnO (Fig. 4a).

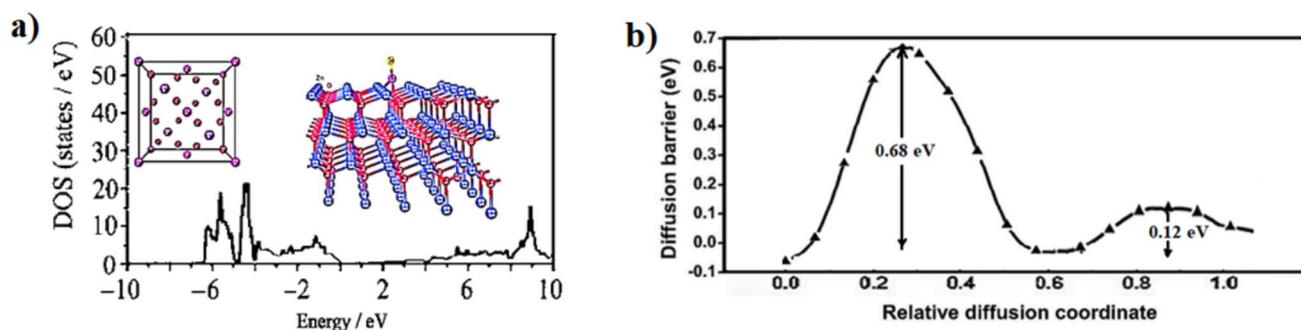
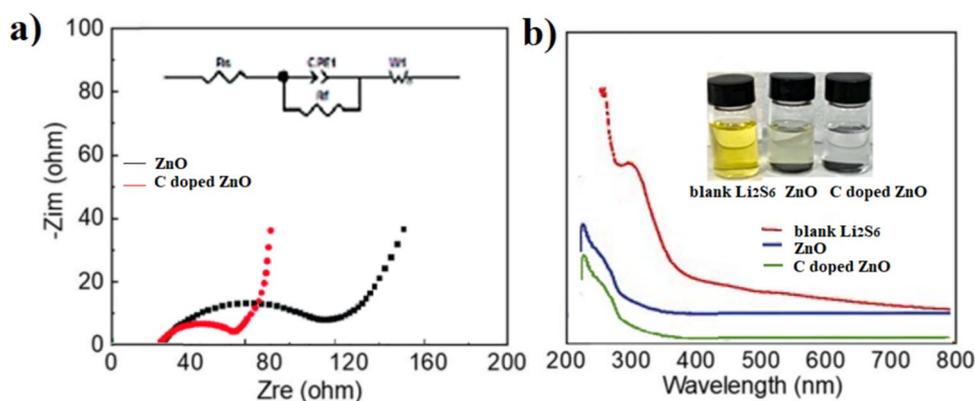


Fig. 3 **a** Atomistic configuration (3D) and DOS for C-doped ZnO derived from DFT. **b** The pathway and energy barriers for Li atoms diffused between two adjacent grooves or inside the grooves

Fig. 4 **a** EIS spectrum of the cells with pristine and C-doped ZnO. **b** Digital photographs of LiPSs adsorption and UV – vis spectrum after immersion in Li_2S_6 solution for 6 h



To visually assess the trapping effect of the synthesized samples on polysulfides, adsorption experiments were conducted using Li_2S_6 solutions at a concentration of 5 mmol L^{-1} . After immersing the as-prepared materials in glass bottles for 6 h of immersion, the pristine ZnO retained a slight yellow color and C-doped ZnO samples became colorless (Fig. 4b), indicating a significantly stronger chemical affinity of C-doped ZnO for polysulfides compared to pristine ZnO. [43] To further investigate the binding degree of lithium polysulfides (LiPSs), ex situ ultraviolet (UV) spectroscopy was employed (Fig. 4b). The C-doped ZnO sample exhibited a much weaker absorption peak for LiPSs and SiPSs compared to the pristine ZnO sample, demonstrating that the

C-doped ZnO sample possesses superior adsorption capacity for Li and Si PSs.

Figure 5a presents the cyclic voltammetry (CV) curves recorded within a voltage range of 1.6 – 2.8 V for the cathode, which is the normal voltage range of the cathode for commercial Li–S cells at a sweep rate of 0.1 mV s^{-1} . [44–46] Notably, two significant negative peaks were observed at 2.32 V (peak I) and 2.12 V (peak II), corresponding to the reduction of S8 to higher-order soluble Li_2S_x (where $4 \leq x \leq 8$), followed by the reduction to lower-order insoluble $\text{Li}_2\text{S}/\text{Li}_2\text{S}_2$. Furthermore, one sharp positive peak at 2.46 V (peak III) is associated with the oxidation of short-chain $\text{Li}_2\text{S}/\text{Li}_2\text{S}_2$ to long-chain Li_2S_x and S8. [47, 48]

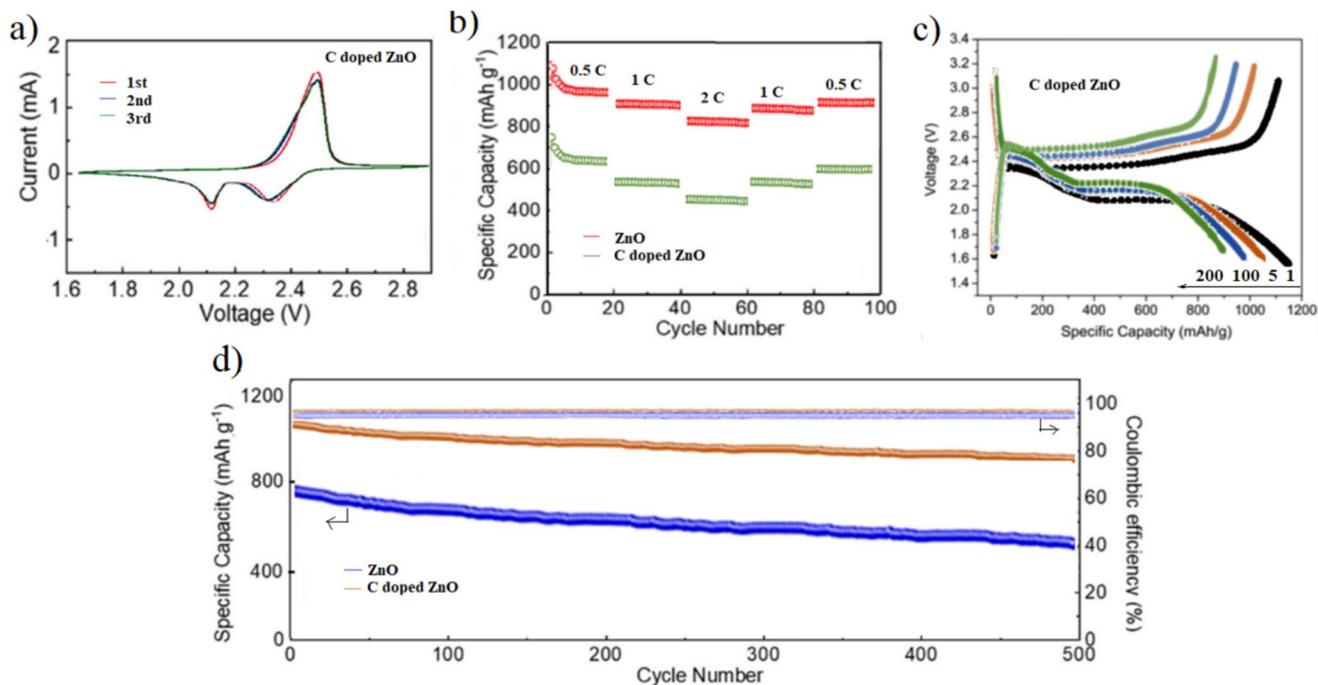


Fig. 5 **a** CV curves for C-doped ZnO at different cycles. **b** Rate capability for the cells with ZnO and C-doped ZnO at different scan rates. **c** Charge discharge voltage curve for the cell with C-doped ZnO at 3 C. **d** Long cycle life for ZnO and C-doped ZnO at 2 C

The performance of batteries utilizing pristine ZnO and C-doped ZnO was further evaluated. The C-doped ZnO modified cell demonstrated impressive rate capabilities, achieving capacities of 1046, 938, 871, 918, and 929 mAh g⁻¹ at current densities of 0.5, 1, 2, and 1 and 0.5 C, respectively. Additionally, when the current was reduced back to 0.5 C, the reversible capacity was restored to 929 mAh g⁻¹, which represents 89.0% of its original capacity, highlighting its excellent reversibility and robust redox electrochemistry for C-doped ZnO (Fig. 5b).

The charge discharge voltage curve of the cell with C-doped ZnO showed high discharge stability with a small fading rate of discharge capacity after 5, 100, and 200 cycles (Fig. 5c).

Additionally, ultralong cycling stability is achieved after 500 cycles at 2 C, for C-doped ZnO resulting in an impressive reversibility of 981 mAh g⁻¹, with a capacity retention of 86.2% and minimal capacity degradation of just 0.023% per cycle. Pristine ZnO demonstrated reversibility of 426 mAh g⁻¹, with a capacity retention of 53.4% and minimal capacity degradation of just 0.092% per cycle (Fig. 5d).

The S K-edge XANES spectra confirm the presence of unoccupied states in the electronic density and the local crystal structure (Fig. 6a). The EXAFS spectrum of Zn K-edge displays one distinct peak, showing no edge shift in both C-doped and pristine ZnO [44]. The Fourier transform of the Zn K-edge EXAFS spectra displays a strong peak at 1.87 and 1.84 Å for C-doped and pristine ZnO

respectively, attributed to the Zn–S bond, indicating a strong coupling effect between ZnO and polysulfides (S_x) (Fig. 6b).

The Raman spectrum reveals that the carbon doped ZnO exhibits a G-band at 1798 cm⁻¹, characteristic of sp² hybridized carbon (E_{2g}), and a D-band at 1228 cm⁻¹, indicative of sp³ hybridized carbon (A_{1g}). Furthermore, the observed bands are between 400 and 1000 cm⁻¹ associated with the Wurtzite structure of ZnO. This finding confirms the presence of ZnO nanoparticles on the carbon substrates in the carbon doped ZnO (Fig. 6c).

The initial decomposition temperature of C-doped ZnO composite materials was found to be lower than that of pure ZnO, attributed to the robust bonding present in the C-doped ZnO composite material. This bonding facilitates the effective movement of molecular chains (Fig. 6d). The uniform distribution of C-doped ZnO nanoparticles in the sulfur cathode improved thermal stability by acting as a barrier to the movement of small gas molecules.

The chronoamperometry curves obtained from Li₂S₆ symmetric cells exhibit a significantly improved current response compared to cells lacking Li₂S₆. This enhancement is particularly evident during the lithiation and delithiation processes, rather than being attributed to double-layer capacitance Fig. 7a. Notably, both pristine ZnO and C-doped ZnO electrodes demonstrated the pronounced current responses, highlighting their beneficial role in facilitating the redox reactions of lithium and silicon polysulfides.

Fig. 6 **a** S K-edge XANES spectrum of the pristine ZnO and C-doped ZnO after cycling, **b** Fourier transforms of Zn K-edge EXAFS spectrum of the pristine ZnO and C-doped ZnO after cycling, **c** Raman spectrum of pristine ZnO and C-doped ZnO after cycling, **d** TGA of C-doped ZnO after cycling

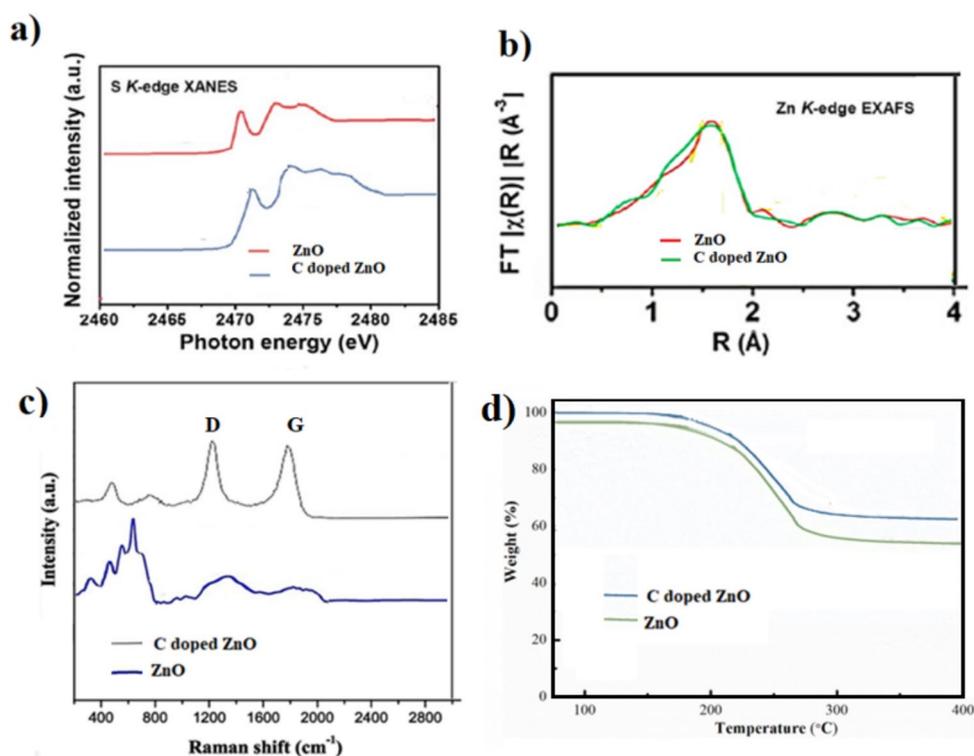
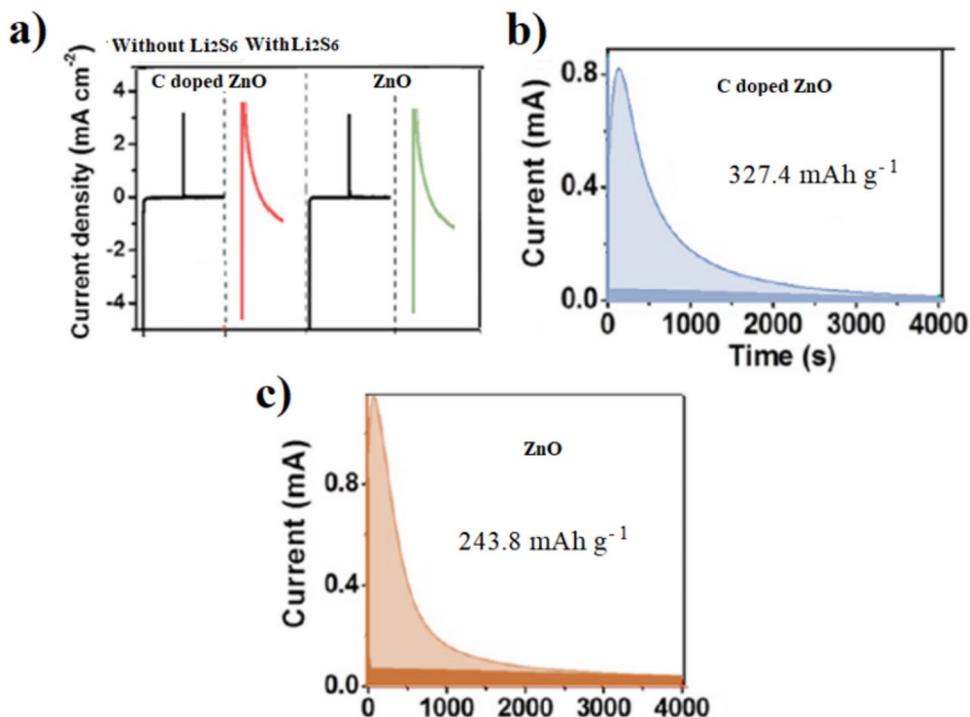


Fig. 7 **a** Chronoamperometric curves of pristine ZnO and C doped ZnO cells. **b** and **c** Potentiostatic discharge profiles of C-doped ZnO and ZnO at 2.0 V

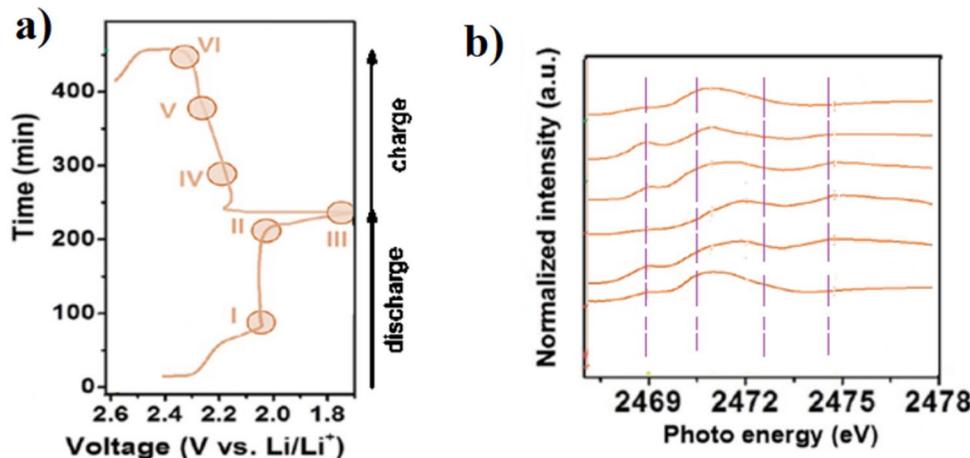


To thoroughly investigate the benefits of heterostructures in the conversion of polysulfides, experiments were conducted to observe the precipitation of Li_2S . Based on Faraday's law, the Li_2S precipitation capacity of the C doped ZnO catalyst (327.4 mAh g^{-1}) is superior to that of the pristine ZnO catalyst (243.8 mAh g^{-1}), suggesting that C doped ZnO demonstrates excellent electrocatalytic activity towards polysulfides and significantly enhances the kinetics of Li_2S redox reactions in sulfur electrochemistry [49] (Fig. 7b and c).

To gain deeper insights into the sulfur redox reaction mechanism of the C-doped ZnO, ex situ XANES measurements of the pre-lithiation Si – S battery were conducted at

different voltage states during the initial discharge/charge cycle at a rate of 1 C (Fig. 8a). The S K-edge spectra of the C-doped ZnO show a prominent peak at 2471.5 eV, attributed to the S – S bonds of S8. Additionally, another peak at 2469.0 eV is observed during the discharge, which is linked to the soluble polysulfides (Li_2S_x). The other two peaks at 2472.8 and 2474.7 eV indicate the formation of insoluble $\text{Li}_2\text{S}/\text{Si}_2\text{S}$. During the charging phase, the Li_2S peak disappears, coinciding with the appearance of the PSs peaks between 2.2 and 2.4 V (Fig. 8b). Eventually, a strong S8 peak reappears when the battery is fully charged to 2.6 V. This finding further highlights the role of the C-doped

Fig. 8 **a** and **b** Ex situ XANES of sulfur K-edge C-doped ZnO/S cathode at various depths in the first discharge/charge process



ZnO in effectively enhancing the redox kinetics of redox electrochemistry [50–52].

Conclusion

In summary, we present a DFT study for carbon-doped (C) ZnO/S as a promising cathode material to facilitate redox reactions and improve cycling performance for pre-lithiation Si/S batteries. The DFT results proved the role of C-doped ZnO for polysulfide trapping as a cathode for pre-lithiation silicon-sulfur batteries. Since carbon doping causes surface changes in the molecular structure, it may have also changed the optical, electrical, and catalytic properties of ZnO. In order to understand this, the HOMO–LUMO gaps of the optimized models were calculated as +1.52 and +1.67 eV with TURBOMOLE, respectively. A HOMO–LUMO gap value of +1.52 eV indicates that pure ZnO in interaction with SiS₂ exhibits moderate stability and can absorb light within a specific energy range. As can be understood from these relatively small values, it can be said that the molecules are reactive, and electron transfer is easy and good conductors. On the other hand, the HOMO–LUMO gap value (+1.19 eV) of the carbon-doped ZnO/LiS₂ model was lower than the Li₂S/ZnO model (+2.09 eV). Carbon doping changed the electronic structure of the ZnO/LiS₂ model, affecting the HOMO and LUMO levels and causing the HOMO–LUMO gap to decrease.

Notably, both pristine ZnO and C-doped ZnO electrodes demonstrated the pronounced current responses, highlighting their beneficial role in facilitating the redox reactions of lithium and silicon polysulfides. The DFT results proved the role of C-doped ZnO for polysulfides trapping as a cathode for pre-lithiation silicon-sulfur batteries.

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Data availability Data will be available on a reasonable request from the corresponding author.

Declarations

Conflicts of interest The authors declare no competing interests.

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